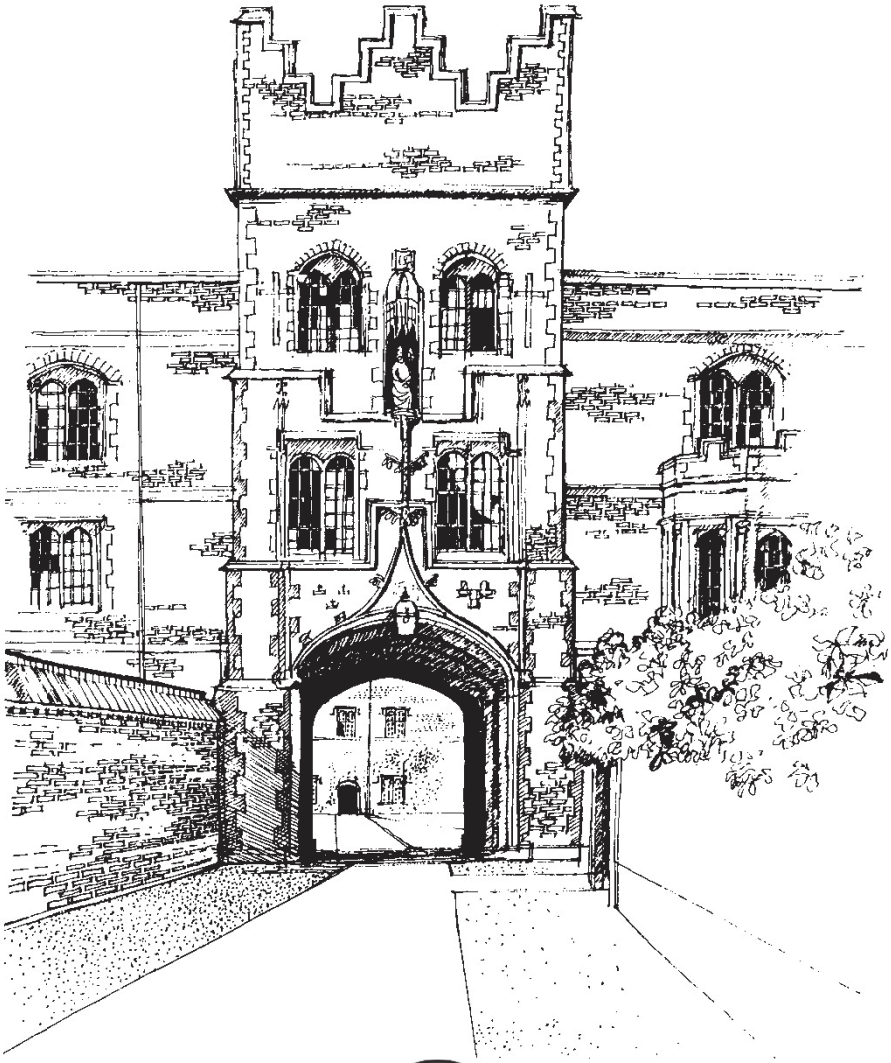
THIRTY-FOURTH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 4th SEPTEMBER - SUNDAY 11th SEPTEMBER 2016

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

Economic Crime – where does the buck stop?

Who is responsible - facilitators, controllers and or their advisers?



NCA National Crime Agency

CIDOEC

UNIVERSITY OF CAMBRIDGE

CITY OF LONDON POLICE

INSTITUTE OF ADVANCED LEGAL STUDIES

SFO serious fraud office

The 34th Cambridge International Symposium on Economic Crime

Economic Crime – where does the buck stop? Who is responsible - facilitators, controllers and or their advisers?

The thirty-fourth international symposium on economic crime has the most ambitious programme both in terms of objective and content that we have so far attempted. This year we seek, as our overarching objective, to address perhaps the most significant question in any system – simply put, where should the 'buck stop' - for committing or facilitating misconduct, that undermines stability, security and development? Who should society, through its various systems whether of law, compliance or public criticism, blame for crimes perpetrated against, through or with our financial and business structures? This is not just an issue of confidence or doing the right thing, but reinforcing integrity without which our systems and markets will suffer. There is also the issue of whether we, in seeking to impose fines and other forms of condemnation on those who have simply 'permitted' misconduct to occur, disproportionately penalise and indirectly harm those who we should be protecting. The recent financial crisis, compounded by the increased use of financial sanctions, together with our near obsession with attempting to inhibit money laundering and create financial intelligence, have arguably created unsustainable risks for those who in the ordinary course of their business mind other people's wealth and for those who advise them.

As in previous symposia we do not, however, focus on a single – no matter how important, issue. This year over 600 specialists, most with topical and practical experience, will address in plenary sessions, workshops and think tanks as many issues pertaining to the real concerns of those at the 'coal face' and their managers, that have been brought to our attention. Just spend a few minutes to look through the 34th Symposium programme, I am confident that you will be impressed by its depth, breadth and relevance. The symposium is not a mere talking shop - it was conceived to fulfil a very practical purpose. To promote understanding of the real issues in controlling economically motivated crime and facilitate co-operation and effective action – ideally preventive. The symposium is supported by a number of governments and their agencies, with some of the world's leading universities, research organisations, the professions and, of course, those in the financial and business sectors, from around the world. As in previous years we expect well over 1,600 participants from nearly 100 different countries. The opportunities for networking and developing meaningful co-operation are unique.

The annual symposium over the last thirty-four years has brought together in one of the oldest medieval Colleges of the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and researchers from around the world.

This programme is structured to provide a depth and breadth of opportunity - second to none, for those participating in the symposium to become aware not only of existing, but also new threats, and how best to address them. Through the numerous plenary and other workshops and in particular the considerable opportunities to meet colleagues socially, there is a degree of interaction and collaboration that could only be encountered in an institution that has the highest world reputation for learning and research. The University of Cambridge, collaborating with a host of distinguished institutions throughout the world, offers a wholly different experience than any other forum in addressing these fundamentally important issues. It is for this reason that the symposium can attract the support and participation that it does – over so many years.

The Cambridge Symposium is not and has never been just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. It was established to promote meaningful international co-operation – in the fight against financial crime. Its record is its best testimonial. Successive symposia have made a real contribution – at all levels, to promoting stability, good governance and the disruption and control of serious crime and terror. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today, cannot afford to miss this very special event.

Professor Barry A.K. Rider OBE
Founding Director and Co-Chairman,
Jesus College,
Cambridge

Sunday, 4st September 2016

13:00 Registration in the Marquee, Jesus College, Cambridge

18:30 **Cocktails** in the Marquee hosted by the **Organising Committee of the 34th Symposium and the Centre for International Documentation on Organised and Economic Crime (CIDOEC)**

Dinner in Hall and Upper Hall, Jesus College generously sponsored by **Hengchang Litong Investment Management (Beijing) Co. Ltd, Beijing, People's Republic of China** and the **Hubei Institute for Strategic Studies of Legal Development, Centre of Rule of Law, Development and Judicial Reform, Zhongnan University of Economics and Law, People's Republic of China**

The Civic welcome will be extended by **Councillor Jeremy Benstead**, Worshipful Mayor of Cambridge and **Mr Daniel Zeichner MP**, Member of Parliament for Cambridge and Shadow Minister of Transport, UK. After-dinner addresses will be given by **Alderman Sir Paul Judge,** Alderman and former Sheriff of the City of London, Director of the UK Accreditation Service and Benefactor of the Judge Business School, University of Cambridge, **Mr Saul Froomkin QC,** Chairman of the Symposium and the Committee of the Organising Institutions, Chairman of the Centre for International Documentation on Organised Crime, former Attorney General and Solicitor General of Bermuda and Director of Criminal Law, Federal Government of Canada and currently Director and Head of Litigation, BeesMont Law Limited, Bermuda and **Professor Barry A.K. Rider,** founder, Director and Co-Chairman of the Symposium, Professorial Fellow, Centre of Development Studies, University of Cambridge, Professor of Comparative Law, Renmin University, PRC, Professor of Law, University of the Free State, South Africa and BPP University, Master of the Bench of the Inner Temple and former Director of the Institute of Advanced Legal Studies, University of London and sometime Fellow, Dean and Tutor of Jesus College, Cambridge, introduced by **Colonel Robert Murfin DL,** President of the Fellowship of Clerks of the City of London and Clerk to the Worshipful Company of Pattenmakers of the City of London, UK with a vote of thanks by **Dr Marcelo Ruiz,** Senior Partner, Cangueiro Ruiz Abogados and former Minister Counsellor, Argentine Embassy, UK

Monday, 5th September 2016

08:00 **Keynote Speeches**

Chair: **Mr Saul M Froomkin QC,** Symposium Chairman

Opening Address

The Rt Hon Mr Jeremy Wright, PC, QC, MP, HM Attorney General for England and Wales and Advocate General of Northern Ireland, UK

Addresses by the Principal Sponsors

- **Mr David Green QC,** Director, Serious Fraud Office of England, Wales and Northern Ireland, UK
- **Mr Donald Toon,** Director, Economic Crime Command, National Crime Agency, UK
- **Mr Ian Dyson,** Commissioner, City of London Police, UK
- **Professor Barry Rider,** Professorial Fellow, Centre of Development Studies, University of Cambridge

Keynote Addresses

- **Professor Dame Sandra Dawson,** KPMG Professor Emeritus of Management Studies, Director of TSB, Winton Capital Management, Institute for Government and the Social Science Research Council, former Master of Sidney Sussex College, Cambridge; former Director of the Judge Business School and Deputy Vice Chancellor of the University of Cambridge and formerly member of the Prime Minister's Council on Science and Technology and member of the boards of the Financial Services Authority, Barclays plc and Centre for Management and Policy Studies, Cabinet Office, Government of the UK
- **Alderman Sir Paul Judge,** Alderman and former Sheriff of the City of London, Director of the UK Accreditation Service and Benefactor of the Judge Business School, University of Cambridge, UK
- **Mr Leonard McCarthy,** Integrity Vice-President, The World Bank, Washington DC, USA
- **The Hon Professor Ye Feng,** Wenlan Scholar and Professor of Law, Zhongnan University of Economics and Law, former Secretary General, International Association of Anti-Corruption Authorities, Qatar and International Association of Prosecutors and Director General, Supreme People's Procuratorate of the People's Republic of China
- **The Rt Hon Rotimi Chibuike Amaechi,** Cabinet Minister, Government of the Republic of Nigeria
- **The Hon Mr Ming-Tang Chen,** Deputy Minister (Political), Ministry of Justice, Taiwan
- **Ms Julie Read,** Director, Serious Fraud Office, New Zealand
- **Ms Jennie Granger,** Director General of Enforcement and Compliance, HM Revenue and Customs, UK
- **Ms Caroline D. Ciraolo,** Assistant Attorney General, Tax Division, United States Department of Justice, Washington, D.C., USA
- **Mr Giovanni Kessler,** Director General, European Commission Anti-Fraud Office, Brussels, Belgium

- **Professor Xu Hanming,** Distinguished Professor and Dean of Hubei Strategic Academy for the Rule of Law, Zhongnan University of Economics and Law, People's Republic of China
- **Mr Martin Kreutner,** Dean and Executive Secretary, The International Anti-Corruption Academy, formerly Special Adviser, Ministry of the Interior and Director of the Federal Bureau for International Affairs, Government of Austria

10:45 **Coffee**

Keynote Addresses (continued)

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mr Mark Cheeseman,** Head of Fraud and Error Policy, Deputy Director, Fraud, Error and Debt Team, Cabinet Office, Government of the UK and former Head of the Counter-Fraud and Investigations Department, The Legal Aid Agency, UK
- **Mr William J. Fox,** Managing Director of Financial Crimes, Corruption and Sanctions at Bank of America, and former Director of FinCEN, US Department of the Treasury, USA
- **The Hon Dr Ching-Chang Yen**, Professor of Trade Law, Soochow University and Chief Advisor, Taiwan Financial Services Roundtable and former Minister of Finance, Taiwan and Ambassador to the World Trade Organisation
- **The Hon Judge Antonio Balsamo,** Judge of the Court of Cassation, Italy and Member of the Scientific Committee of the Consiglio Superiore della Magistratura, Italy and former Judge of the Court of Assizes, Palermo, Sicily, Italy
- **Mr Shinji Ogawa,** Director-General, Inspection Guidance Division, Supreme Public Prosecutors Office, Ministry of Justice, Japan
- **Mr Ching-Hsiang Tsai,** Director-General, Investigation Bureau, Ministry of Justice, Taiwan
- **The Hon Lic. Eugenio Burzaco**, Secretary for Security, Ministry of Interior of the Republic of Argentina
- **Mr David Johnston,** former Head, The National Technical Assistance Centre (NTAC), GCHQ UK and former Commander, Special Operations, Metropolitan Police, UK
- **The Hon Professor Mads Andenas,** Visiting Fellow of All Souls' College, University of Oxford, UN Human Rights Mandate Holder, Chairman of UN Working Group against Arbitrary Detention, Professor of Law at the Universities of Oslo and Leicester, Senior Research Fellow at the University of Oxford and the IALS, and Master of the Bench of the Inner Temple and former Director of the Norwegian Centre for Human Rights and Director of the British Institute of International and Comparative Law
- **Professor Zhang Qihuai**, Senior Partner, Lanpeng Law Firm, Beijing, Professor of Law at among other universities, the University of South China, Beijing Institute of Technology and China University of Political Science and Law; member of the Executive Committees of the Chinese Society of Criminology and the China Academy of Arbitration Law and former Chief of Staff, China Air Force, People's Republic of China
- **Professor Nikos Passas,** Professor of Criminology, School of Criminology and Criminal Justice and Co-Director of the Institute for Security, North Eastern University, Distinguished Professor, International Anti-Corruption Academy, Vienna and Case Western Reserve School of Law and Head of UN Sanctions Implementation Legal Review Services, Compliance Capacity International, USA
- **Professor Mike Levi,** Professor of Criminology, Cardiff University, President of the US National White Collar Crime Research Consortium and member of the Group of Experts on Corruption, European Commission, UK

13:00 **Lunch** in Hall and Upper Hall, Jesus College

14:00 **Group Photograph,** Jesus College

14:30 **Session Ia: In the fight against economically motivated crime what are we seeking to achieve and how successful have we been?**

Chair: **Ms Rosalind Wright,** Independent Director, Department of Business, Innovation and Skills, UK Government, Master of the Bench of the Middle Temple, Member of the Supervisory Committee, European Anti-Fraud Office and former Chair, Fraud Advisory Panel and Director of the Serious Fraud Office of England, Wales and Northern Ireland, UK

- **Mr Donald Toon,** Director, Economic Crime Command, National Crime Agency, UK
- **Professor Hans Geiger,** Emeritus Professor of Banking, Swiss Banking Institute, University of Zurich, Switzerland
- **The Hon Dr Santiago Otamendi,** Secretary for Justice, Government of Argentina
- **Professor Margaret Beare,** Professor of Law and Sociology and former Director, Nathanson Centre on Transnational Human Rights, Crime and Security, Faculty of Law, York University, Toronto, Canada
- **The Hon Dr Jun-Ji Shih,** Chairman, Taiwan Stock Exchange and Professor, Department of Economics, National Taiwan University, Taiwan
- **Professor Ernesto Savona,** Professor of Criminology, Catholic University of Milan and Director of Transcrime, Italy
- **Ms Alison Levitt QC,** Partner, Mishcon de Reya, London, Master of the Bench of the Inner Temple, London, UK

- **Dr Shailaja Fennell,** Senior Lecturer and Assistant Director, Centre of Development Studies, University of Cambridge and Fellow and Graduate Tutor of Jesus College, Cambridge, UK
- **The Hon Justice Abdulai Sheikh Fofanah**, Justice of Appeal, Sierra Leone
- **Mr Roger McCormick**, Managing Director, CCP Research Foundation CIC, Visiting Professor, London School of Economics, former Partner, Freshfield Bruckhaus Deringer and King & Spalding and Chairman of the Company Law Sub-Committee of the City of London Law Society, UK
- **Mr Tom Keatinge,** Director, Centre for Financial Crime and Security Studies, Royal United Services Institute (RUSI), UK and formerly Managing Director, Financial Institutions Group and Head of European Public Sector, J.P. Morgan, UK
- **Mr Rob Beckley,** Chief Operating Officer, College of Policing, former Assistant Chief Constable (Crime and Operations), Hertfordshire Constabulary and Commander, Metropolitan Police Service, UK
- **Mr Thusantha Wijemanna,** Director General, SAARC Arbitration Council (SARCO), Islamabad, Pakistan
- **Mr Rory Field QC,** Chief Executive Officer, ViennEast Ltd and Vice President of the International Association of Prosecutors for North America and the Caribbean, former Director of Public Prosecutions of Bermuda and Legal Advisor (Organised Crime), OECD and OSCE (Serbia)
- **Mr Howard Brown,** US Attorney and UK Solicitor Advocate, Chairman, H.S Brown Ltd, London UK

PARALLEL PLENARY WORKSHOP I – 14:30 to 16:00 – Coleridge Room

The risk of personal liability for those working in compliance

Chair: Mr Arthur Middlemiss, Partner, Lewis, Baach, Kaufmann, and Middlemiss and former District Attorney, New York County District Attorney's Office, USA
Mr William Langford, Chief Compliance Officer, GE Capital and former Associate Director for the Regulatory Policy and Programs Division of the Financial Crimes Enforcement Network (FinCEN), US Department of the Treasury, USA
Mr William J. Fox, Managing Director of Financial Crimes, Corruption and Sanctions at Bank of America, and former Director of FinCEN, US Department of the Treasury, USA
Mr Michael Ashe QC SC, 9 Stone Buildings, London, Co-Chairman of the Symposium, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple, UK
Mr Hal Crawford, Managing Director, K2 Intelligence, USA
Ms Sue Shelley, Compliance Consultant and former Chief Compliance Officer, HSBC (Luxembourg)

PARALLEL PLENARY WORKSHOP II – 14:30 to 16:00 – EDS Room

Legal Professional Privilege – a blessing or risk!

Chair: Mr John Gibson, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland, UK
Ms Debbie Churaman, Senior Lawyer, Serious Fraud Office of England, Wales and Northern Ireland, UK

16:00 **Tea**

16:15 **Session Ib: Continued**

Chair: **Lord Hacking of Chorley,** Barrister and Arbitrator, Littleton Chambers, London, UK

- **Professor Johan Henning,** Senior Professor of Mercantile Law, Distinguished Emeritus Professor and sometime Dean of Law, University of the Free State and former State Prosecutor, Republic of South Africa and Visiting Professor in Corporate Law, BPP University, UK
- **Ms Anne Sheedy,** Head of Financial Crime and Strategic Intelligence, CIFAS – The UK's Fraud Prevention Service, UK
- **Professor Fletcher Baldwin,** Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Lic Zenón Biagosch,** President, Fides Net, Director, Business School, Pontifical Catholic University, Argentina and former Vice President, Central Bank of Argentina, Argentina
- **Mr Chris Blackhurst,** Columnist and Business Commentator for the Independent and Evening Standard and former Editor, The Independent, UK
- **Mr David Stevens,** Integrity and Law Manager, Institute of Chartered Accountants in England and Wales, UK
- **Professor Russell Smith,** Principal Criminologist and Manager, Transnational, Organised and Cyber Crime Program, Australian Institute of Criminology, Government of Australia
- **Dr Shoushuang Li,** Senior Partner, Dentons, People's Republic of China
- **Professor Dan Magnusson,** Professor of Law and Society, Jönköling International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau, Sweden
- **Professor Bo Rothstein,** Professor of Government and Public Policy, Blavatnik School of Government and Professorial Fellow, Nuffield College, University of Oxford, UK
- **Mr Tom Lloyd,** Chairman, Whistleblowers, UK and former Chief Constable of Cambridgeshire Constabulary, UK

- **The Rev. Dr Hugh Thomas,** Barrister and Consultant, Artington LLP and MPAC LLP; Compliance Officer, UK Conservative Party and formerly Global Compliance Director, Deutsche Bank Private Wealth Management, UK

PARALLEL PLENARY WORKSHOP III – 16:15 to 18:00- Coleridge Room

Cartography of compliance: a review of standards implementation and practice in the UK
under the auspices of the **Royal United Services Institute (RUSI) UK**

Chair: Mr Tom Keatinge, Director, Centre for Financial Crime and Security Studies, Royal United Services Institute (RUSI), UK and formerly Managing Director, Financial Institutions Group and Head of European Public Sector, J.P. Morgan, UK
Dr Inês Sofia de Oliveira, Research Fellow, Centre for Financial Crime & Security Studies, Royal United Services Institute for Defence and Security Studies (RUSI), UK
Mr David Artingstall, Consultant and Associate Fellow, Centre for Financial Crime and Security Studies, RUSI, UK
Mr Matthew Russell, Partner, PwC, London, UK
Mr Ben Luddington, Director, PwC, London, UK

18:45 **Cocktails** in the Marquee generously sponsored by **Lewis, Baach, Kaufmann & Middlemiss**

Dinner in Hall and Upper Hall, Jesus College generously sponsored by the **Taiwan Legal Education Foundation,** the **Taiwan Stock Exchange Corporation (TWSE),** the **Taiwan Securities Association (TSA)** and **The Ministry of Justice Investigation Bureau of Taiwan**

After-dinner Addresses by **Alderman Matthew Richardson**, Aldermen of the City of London, Barrister in Henderson Chambers, London, UK and **The Hon Professor GL Peiris,** former Minister of Justice and Constitutional Affairs, International Trade and Deputy Minister of Finance, Sri Lanka, introduced by **Mr Nicholas Walmsley,** Director, Head of Compliance and Risk Culture Training, Deutsche Bank AG, London, UK with a vote of thanks proposed by **Mr Dominic Gibbs,** Chief Operating Officer, The Cayzer Trust Company Limited and Researcher, the Institute of Advanced Legal Studies, University of London, UK

Tuesday, 6th September 2016

08:00 **Session II: In an ideal world who should carry the 'can' for wrongdoing within a business?**

Chair: **The Lord Davidson of Glen Clova QC,** HM Loyal Opposition's Spokesperson for the Law Officers of Scotland and former Advocate General and Solicitor General of Scotland, UK

- **Mr Stefan Gannon,** General Counsel, Hong Kong Monetary Authority, Hong Kong SAR, People's Republic of China
- **The Hon Mr Masayuki Yoshida,** Commissioner, Securities and Exchange Surveillance Commission, Japan
- **Mr Jan Willem van der Kaaij,** Inspector General, European Investment Bank, Luxembourg
- **Mr Reginald Rhoda QC**, Master of the Bench of the Inner Temple, former HM Attorney General for Gibraltar, currently Senior Counsel to the Government of Gibraltar
- **Mr Tom Newkirk,** Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA
- **Mr Paul Hauser,** Partner, Bryan Cave LLP, UK
- **Mr Emmanuel Mathias,** Senior Financial Sector Expert, International Monetary Fund, Washington DC, USA
- **Ms Elisabeth Pelsez,** Magistrat de Liaison, Embassy of the Republic of France to the United Kingdom
- **Mr Kenneth Murray,** Head of Forensic Accountancy, Police Scotland, Scotland, UK
- **Ms Susan Galli,** Managing Director, Advisory Services Risk and Regulatory, PwC, USA
- **Mr P Mukundan,** Director, Commercial Crimes Services, International Chamber of Commerce, UK
- **Colonel Grant Newsham,** former Executive Director, Corporate Security, Morgan Stanley (Japan) Securities Ltd, Japan
- **Ms Jenny L. Johnson,** Partner, Johnson Moore, Chicago, USA
- **Dr Domitilla Vanni di San Vincenzo,** Lecturer in Comparative Law, University of Palermo, Italy
- **Mr William Langford,** Chief Compliance Officer, GE Capital and former Associate Director for the Regulatory Policy and Programs Division of the Financial Crimes Enforcement Network (FinCEN), US Department of the Treasury, USA
- **Mr Martin Hui, SC,** Acting Deputy Director of Public Prosecutions, Department of Justice, Hong Kong SAR, People's Republic of China

Parallel Session IIa: The media and public accountability – getting the balance right!

Chair: **Rt Hon Dame Margaret Hodge PC MP,** former Chair of the Public Accounts Select Committee and Public Accounts Commission of the House of Commons and former Shadow Minister of State for Culture, Media and Sport; Work and Pensions; Industry and Education, Parliament of the UK

- **Mr Andy Verity,** BBC Economics Editor, UK
- **Mr Michael Ricks,** Managing Director, Enquire International Ltd, UK

- **Mr Richard Brooks,** Investigative Journalist and author, UK
- **Mr John Sweeney,** Investigative Journalist, Broadcaster and author, BBC TV Newsnight and Panorama, UK
- **Ms Anita Raghavan,** Journalist and author, former News Editor, Wall Street Journal and European Bureau Chief, Forbes, USA
- **Mr Stephen Grey,** Special Correspondent, Reuters Enterprise Team, UK
- **Mr Chris Blackhurst,** Executive Director, Crosby Textor and former Editor, The Independent, UK
- **Mr Hugo Alconada Mon,** Journalist, La Nacion, Argentina
- **Mr Nigel Dudley,** Journalist and Editor of Quantum, UK and Qatar
- **Ms Margarita Woyciechowsky de Tablante,** Journalist and Editor of CuentasClarasDigital.org, Venezuela
- **Mr Andrew Bousfield,** Barrister, 3PC and Journalist, Private Eye, UK
- **Mr Eddie Townsend**, Media Manager, Economic Crime Division, City of London Police, UK

PARALLEL PLENARY WORKSHOP IV - 09:00 to 10:30 – Creswick Room

Instead of the Judge Business School of the University of Cambridge's presentation the Hubei Institute for Strategic Studies in Legal Development's Centre for the Rule of Law, Development and Judicial Reform of Zhongnan University of Economics and Law of the People's Republic of China will conduct an inter-active seminar on **aspects of economic crime in China.** This seminar will be convened by **Mr Saul Froomkin QC,** Chairman of the Symposium

10:45 **Coffee**

11:00 **Session III: Control Liability – is it a good idea and does it work in practice?**

Chair: **Ms Susan Galli,** Managing Director, Advisory Services Risk and Regulatory, PwC, USA

- **Mr Alun Milford,** General Counsel, Serious Fraud Office of England, Wales and Northern Ireland
- **Ms Christina Forsberg,** Director, Economic Crime Agency, Sweden
- **Professor Philip Rutledge,** Partner, Bybel and Rutledge LLP, Visiting Professor of Securities Law, BPP University and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Professor Eisuke Nagatomo,** Waseda Graduate University, Japan, President and CEO, EN Associates Co. Ltd and former Managing Director and Chief Regulatory Officer, Tokyo Stock Exchange, Japan
- **Mr Ian M. Comisky,** Partner, Blank Rome LLP, Philadelphia and former Assistant US Attorney, Southern District of Florida, USA
- **Ms Joanne Rutkowski,** Senior Special Counsel, Office of Chief Counsel US Securities and Exchange Commission, USA
- **Dr Robert Vella Baldacchino,** Deputy General Manager, Malta Stock Exchange, Malta
- **Mr Dorian Drew,** Partner, Clifford Chance LLP, UK
- **Mr Daniel Tannebaum,** Partner and Global Financial Services Sanctions Leader, PricewaterhouseCoopers, USA
- **Professor David Chaikin,** Associate Professor of Law, University of Sydney Business School, Barrister, and formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat
- **Ms Jill Wong,** Partner, Howse Williams Bowers, Hong Kong SAR, People's Republic of China
- **Ms Yi-Ting Liu,** Prosecutor, District Prosecutors Office, Taipei, Taiwan
- **Mr Patrick Rappo,** Partner, International Regulation and Compliance Department, Steptoe & Johnson London, and former Joint Head of the Bribery and Corruption Divisions, Serious Fraud Office of England, Wales and Northern Ireland, UK
- **Professor Kiymet Tunca Caliyurt,** Faculty of Business Administration and Economics, Department of Accounting and Finance and Manager of Social Graduate School, Trakyu University, Turkey
- **Dr Marcelo Cangueiro**, Senior Partner Cangueiro Ruiz Abogados, Argentina

PARALLEL PLENARY WORKSHOP V – 11:00 to 12:45 – Coleridge Room

Economic sanctions: continuing and expanded risks for corporates, financial institutions and their advisors

Chair: Ms Raminta Dereskeviciute, Senior Associate, K&L Gates, LLP, UK
Mr Daniel Gerkin, Counsel, Vinson & Elkins LLP, Washington DC, USA
Mr Peter Maydon, Head of OFSI and Head of Compliance and Operations, HM Treasury, UK
Mr Dan Squires QC, Matrix Chambers, London, UK
Mr Greg Gatjanis, Associate Director, Office of Global Targeting, Office of Foreign Assets Control, US Department of Treasury, USA
Mr Neil Dawson, Director, UK Head of AML and Sanctions, KPMG LLP, UK

PARALLEL PLENARY WORKSHOP VI – 11:00 to 12:45 – EDS Room

Inter-sector collaboration in the prevention and control of financial crime

Chair: Ms Ingrida Kerusauskaite, International Development Assistance Services, KPMG LLP, London and researcher, Centre of Development Studies, University of Cambridge, UK
Mr Gary Balch, Deputy Chief Crown Prosecutor, Proceeds of Crime, Crown Prosecution Service for England and Wales
Professor Paul M Heywood, Sir Francis Hill Professor of European Politics, School of Politics and International Relations, University of Nottingham and Programme Lead, BA/DfiD Anti-Corruption Evidence Partnership, UK
Ms Eleanor Nichol, Campaign Leader - Governments and Corruption, Global Witness, UK

12:45 **Lunch** in Hall and Upper Hall, Jesus College

13:45 **Session IV: What are the proper responsibilities of management for the wrongs of those they supervise?**

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mr David Kirk,** Partner, McGuireWoods LLP and former Chief Criminal Counsel, Enforcement and Financial Crime, Financial Services Authority, UK
- **Mr John Moscow,** Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Dr Marcelo Ruiz,** Senior Partner, Cangueiro Ruiz Abogados and former Minister Counsellor, Argentine Embassy, UK
- **The Hon Justice Olayinka Faji,** Judge of the Federal High Court, Nigeria
- **Mr Paul Gully-Hart,** Partner, Schellenberg Wittmer, Switzerland
- **Dr Ana Rita Duarte Campos,** Lawyer, Morais Leitão, Galvão Teles, Soares Da Silva, Soc. Advogados R.L, Portugal
- **Professor Caroline Bradley,** Professor of Law, School of Law, University of Miami, Florida, USA
- **Mr Mark Wei,** Chairman, KGI Bank, Taiwan
- **Mr Stefan Cassella,** Asset Forfeiture Law LLC and former Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA
- **Professor Hans Tjio,** Professor of Law, National University of Singapore
- **Mr Michael Weis,** Partner, Forensic Services and Financial Crime Leader, PwC Luxembourg
- **Ms Niamh Mulholland,** Associate Director, KPMG Regulatory Advisory Services and former EU and International Unit, Markets Policy Division, Central Bank of Ireland
- **Mr Mathew Leighton-Daly,** Course Co-ordinator, Australian Graduate School of Policing and Security, Charles Sturt University, Australia
- **Mr John Mair,** Office of the Chief Compliance Officer, The European Bank for Reconstruction and Development, UK
- **Dr Mariano Cuneo Libarona,** Senior Partner, Cuneo Libarona Abogados, Argentina
- **Professor Peter Reuter,** Professor of Criminology, Maryland University, USA

PARALLEL PLENARY WORKSHOP VII – 13:45 to 16:00 – EDS Room

The role of the investigator and prosecutor in prosecuting and disrupting fraud and financial crime

Chair: Ms Clare Whitaker, Head of Fraud Division C, Serious Fraud Office of England, Wales and Northern Ireland, UK
Mr Simon Daniel, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland, UK
Mr Matthew Ball, Principal Divisional Investigator, Serious Fraud Office of England, Wales and Northern Ireland, UK

PARALLEL PLENARY WORKSHOP VIIa – 13:45 to 16:00 – Coleridge Room

Regulatory/financial crime/governance issues related to China

Chair: Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
Mr Matthew Blower, Special Counsel, Dorsey & Whitney, London, UK
Ms Shenshen Lin, Senior Associate, Trade and Customs, PwC LLP, London, UK
Mr Lanier Saperstein, Partner, Dorsey & Whitney, Adjunct Professor, Fordham Law School, New York, USA
Mr Zheng Yu, Partner, Jun He, Beijing, People's Republic of China

16:00 **Tea**

PLENARY WORKSHOP VIII– 16:15 to 17:15 - Marquee

Liability US style – how the US decides whether to prosecute organizations and individuals in criminal tax and money laundering cases

Chair: Mr Ian M. Comisky, Partner, Blank Rome LLP, Philadelphia and former Assistant US Attorney, Southern District of Florida, USA
Ms Caroline D. Ciraolo, Acting Assistant Attorney General, Tax Division, US Department of Justice, Washington, D.C., USA
Mr Larry A. Campagna, Chamberlain, Hrdlicka, White, Williams & Aughtry, Houston, USA
Ms Jenny L. Johnson, Partner, Johnson Moore, Chicago, USA
Mr Steven Toscher, Partner, Hochman, Salkin, Rettig, Toscher & Perez, P.C., USA

PLENARY WORKSHOP IX – 16:15 to 17:15 – Coleridge Room

The proportionality of penalties applied to financial institutions accused of wrongdoing- Getting the balance right!

Chair: Mr David Kirk, Partner, McGuireWoods LLP and former Chief Criminal Counsel, Enforcement and Financial Crime, Financial Service Authority, UK
Mr Fabio Cagnola, Partner, Studio Legale Bana, Italy
Ms Emily Cole, Partner, Miller Thomson, Toronto, Canada
Mr Stephen Pollard, Partner,Wilmer Hale, London, UK
Mr Rob Patton, Associate Director, Securities and Finance Practice**,** NERA Consulting, London, UK
Mr Paul Laffan, Head of Anti-Money Laundering(UK), State Street Bank, London, UK
Dr Manuel Izura, Special Adviser, Ministry of Justice, Argentina

PLENARY WORKSHOP X – 16:15 to 17:15 – EDS Room

Grey areas and cross-industry standards in connection with conduct and reputational risk and the restoration of public trust

Under the auspices of the **CCP Research Foundation C.I.C**

Professor Roger McCormick, Managing Director, CCP Research Foundation CIC, Visiting Professor, London School of Economics and formerly Partner at Freshfield Bruckhaus Deringer, UK
Mr Chris Stears, Solicitor, Research Director, CCP Research Foundation CIC, Lecturer in Financial Law and Compliance, BPP University and researcher, Institute of Advanced Legal Studies, University of London, UK
Dr Roger Miles, Managing Director, Behavioural Economics, Berkeley Research Group and Visiting Professor, Conduct Compliance Academy, Cambridge Judge Business School, University of Cambridge, UK
Mr Paul Watchman, Director of Sustainable Finance, CCP Research Foundation CIC, Honorary Professor (Law), University of Glasgow, Special Legal Advisor at UNEP FI and former Partner, Freshfields Bruckhaus Deringer

PLENARY WORKSHOP XI – 16:15 to 18:30 – Creswick Room

Bitcoin and Beyond: Never ending challenges of the block-chain, ledger technologies and alternative currencies for law enforcement and prosecutor

Chair: Ms Carol van Cleef, Partner, Manatt Phelps & Phillips, USA
Ms Ruth Wandhofer, Global Head of Regulatory and Market Strategy, Citi Treasury and Trade Solutions, Citigroup, London, UK
Ms Lisa Dawson, Chief Compliance Officer, BitStamp, USA
Dr Michael Gronager, CEO and Co-founder Chainalysis Inc. USA
Mr Garrick Hileman, Senior Research Associate, Cambridge Centre for Alternative Finance, Judge Business School, University of Cambridge, UK
Ms May Hen, Researcher, Jesus College and Department of Sociology, University of Cambridge; chair, Cambridge Tax Discussion Forum and formerly Canada Revenue Agency, Canada

WORKSHOP 1 – 17:30-18:30

Money laundering evaluation issues

Professor Mike Levi, Professor of Criminology, Cardiff University, President of the US National White Collar Crime Research Consortium and member of the Group of Experts on Corruption, European Commission, UK
Professor Peter Reuter, Professor of Criminology, Maryland University, USA

WORKSHOP 2 – 17:30-18:30

Migration and corruption: an empirical study in search of a meaning

Professor Anona Armstrong, Professor of Corporate Governance, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia
Professor Ronald D. Francis, Professorial Fellow in Governance and Ethics, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia

WORKSHOP 3 – 17:30-18:30	WORKSHOP 4 – 17:30-18:30
Is Corporate Law fit for purpose in the modern world? **Professor Johan Henning,** Senior Professor of Mercantile Law, Distinguished Emeritus Professor and sometime Dean of Law, University of the Free State and former State Prosecutor, Republic of South Africa and Visiting Professor in Corporate Law, BPP University, UK **Professor David Chaikin,** Associate Professor of Law, University of Sydney Business School, Barrister, and formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat **Professor Hans Tjio,** Professor of Law, National University of Singapore **Dr WangWei Lin,** Senior Lecturer in Law, Westminster Business School, University of Westminster, UK **Mr John Moscow,** Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA	**The effectiveness of the UK's Financial Conduct Authority in placing responsibility on persons in positions of control and influence** **Mr Michael Ashe QC SC,** 9 Stone Buildings, London, Co-Chairman of the Symposium, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple, UK **Mr Alex Jay,** Partner, Gowling WLG (UK) LLP, London, UK **Dr Peter German,** Regional Deputy Commissioner, Correctional Service and former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police, Canada **Dr Richard Alexander,** Barrister, Lecturer in Financial Law, School of Oriental and African Studies, University of London and Lecturer in International Business Law, BPP University, UK **Professor Stuart Bazley,** Barrister, Professor in Financial Regulation and Compliance, BPP University and Director Medius Consulting, UK
WORKSHOP 5 – 17:30-18:30 **The re-emergence of Tokyo as an international financial centre?** Under the auspices of the **British Japanese Law Association, London** **Professor Chizu Nakajima**, Co-Chair of the British Japanese Law Association, Deputy Director of the Symposium, Professor of International and Comparative Law, BPP University, and Affiliated Lecturer, University of Cambridge, and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK **Mr Scott Hopkins**, Co-Chairman of the British Japanese Law Association and Partner, Skadden, Arps, Slate, Meagher & Flom (UK) LLP, UK **Mr Kenichi Kinukawa**, Partner, TMI Associates, Solicitor (England & Wales), Attorney-at-law (Japan) and former Attorney for the Government of Japan and First Secretary (Legal), Embassy of Japan, UK	**WORKSHOP 6 – 17:30-18:30** . **International anti-trust enforcement** **Ms Pamela J. Parizek**, Partner, Advisory Service, KPMG LLP, Washington, USA **Ms Wendy Huang Waszmer,** Litigation Partner, King and Spalding, Washington and former Federal Prosecutor, US Department of Justice, USA **Mr Omar Shah,** Partner, Morgan, Lewis and Bochius LLP, London UK **Ms Lisa Phelan,** Chief, Washington Criminal I Section, Antitrust Division US Department of Justice, USA **Mr Stephen Blake,** Head of the Cartel Section, Competition and Markets Authority, UK **Mr Michael O'Kane,** Partner, Peters & Peters Solicitors LLP, London, UK
WORKSHOP 7 – 17:30-18:30 **The cyber threat - to us all!** **Mr Richard Parlour,** Principal, Financial Markets Law International and Chairman of the Federation of Small Businesses Home Affairs Committee UK **Ms Orla MacRae,** Department of Culture, Media and Skills Department of Business, Innovation and Skills, Government of the UK **Mr Dave Porter,** Associate Partner, Cognitive Solutions, IBM, UK **Mr Talal Rajab,** Programme Manager, Cyber and National Security, TechUK, London, UK	**WORKSHOP 8 – 17:30-18:30** **A cross-case analysis of National Action Plans on Business and Human Rights** **Dr Azadeh Chalabi,** Lecturer in Law, Ulster Law School and Transitional Justice Institute, Northern Ireland

19:00 **Cocktails** in the Marquee generously sponsored by **The Company Lawyer**

Dinner in Hall and Upper Hall**,** Jesus College generously sponsored by **The British Institute of Securities Laws**

After-dinner Addresses by **The Lord Davidson of Glen Cova QC,** HM Loyal Opposition's Spokesperson for the Law Officers of Scotland and former Advocate General and Solicitor General of Scotland and **The Rt Hon Lord Justice Rupert Jackson PC,** Lord Justice of Appeal, England and Wales and Honorary Fellow of Jesus College, Cambridge, introduced by **Dr Findlay Stark,** Fellow and Director of Studies in Law, Jesus College, Cambridge and Lecturer in Law, University of Cambridge, with a vote of thanks proposed **Dr Michael Waibel,** Fellow, Jesus College, Cambridge, Senior Lecturer in Law, University of Cambridge, Harvard Link Coordinator and Deputy Director Lauterpacht Centre for International Law, University of Cambridge, UK

07:15

Breakfast meeting – Think Tank precursor

Cyber Risk - what can governments and corporations do?
Are they likely to be overwhelmed in the next five years?

The Combination Room, Jesus College

Mr Dave Porter, Associate Partner, Cognitive Solutions, IBM, UK
Ms Sophia Qureshi, Head of Fraud Pre-Sales, Featurespace, UK
Mr Trevor Bedeman, Partner, London Risk, UK

08:00 **Session V: The responsibilities and potential liabilities of those in compliance**

Chair: **Mr John Mair,** Office of the Chief Compliance Officer, The European Bank for Reconstruction and Development, UK

- **Mr Roger Best,** Partner, Clifford Chance LLP, London, UK
- **Ms Joanne Rutkowski,** Senior Special Counsel, Office of Chief Counsel US Securities and Exchange Commission, USA
- **Dr Justine Walker,** Director, Financial Crime, (Sanctions and Bribery), British Bankers Association, UK
- **Dr Thomas Spies,** Counsel and Senior Manager, Regulatory Service Group, KPMG, Germany
- **Mr Rune Grundekjon,** Special Advisor and Controller, Finanstilsynet (Financial Services Authority), Norway
- **Mr Marc Frazier Scholl,** Counsel to the Investigation Division, Senior Investigative Counsel, New York County District Attorney's Office, USA
- **Ms Helen Hatton,** Managing Director, Sator Regulatory Consulting Ltd and former Deputy Director, Jersey Financial Services Commission, States of Jersey
- **Mr Arthur Middlemiss,** Partner, Lewis, Baach, Kaufmann, and Middlemiss**,** former District Attorney, New York County District Attorney's Office, USA
- **Ms Sunita Masigani,** Compliance Officer, Danske Bank, London, UK
- **Professor Dayanath Jayasuriya,** President's Counsel and former Chairman, Securities and Exchange Commission of Sri Lanka and Consultant to the International Compliance Association, Singapore
- **Mr Tony Wicks,** Director, Compliance Services, SWIFT, UK
- **Dr Michael Meissner,** Chief Compliance Officer, Landeskreditbank Baden-Wuerttemberg (L-Bank), Germany
- **Mr Neil Getnick,** Managing Partner, Getnick & Getnick, New York, USA
- **Mr Martin Woods,** MLRO, Thomson Reuters, UK

Parallel Session VI: Managing the consequences of suspicion

Chair**: Dr Silvina Rivarola**, Director, International Affairs Division, Financial Intelligence Unit, Government of Argentina

- **Ms Bridget Brennan,** The Special Prosecutor for Narcotics, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Mr Eugene Corcoran,** Detective Chief Superintendent, Chief Bureau Officer, Criminal Assets Bureau, An Garda Siochana, Ireland
- **The Hon Dr Guiseppe Lumia,** Member of Italian Senate and former President of Italian Parliamentary Anti-Mafia Commission, Italy
- **Dr Alain Sham,** Deputy Director of Public Prosecutions and Head of Corruption and Commercial Crime, Department of Justice, Hong Kong SAR, People's Republic of China
- **Dr Fabio Becharra,** Public Prosecutor and Head of the Special Anti-Organised Crime Group, Ministry of Justice for the State of Sao Paulo, Brazil
- **Professor Leonid Fituni,** Director of Strategic and Global Studies, Russian Academy of Sciences, Moscow, Russia
- **Dr Mariano Federici,** Director of the Financial Intelligence Unit, Government of Argentina
- **Dr Frank Madsen,** Affiliated Lecturer, Centre of Development Studies, University of Cambridge, Von Hugel Institute, St. Edmund's College, Cambridge, Adjunct Professor at Henley-Putnam University at Santa Clara, California, USA and former Head of Intelligence, General Secretariat, ICPO-Interpol
- **Colonel Grant Newsham,** former Executive Director, Corporate Security, Morgan Stanley (Japan) Securities Ltd, Japan
- **Mr Robert Axelrod,** Director, Forensic Practice, Deloitte Financial Advisory Services LLP, USA
- **Mr Andrew Hayman,** former Chief Constable, Norfolk Constabulary and Assistant Commissioner for Specialist Operations, Metropolitan Police, UK
- **Mr Jyoti Trehan,** Inspector General of Police (retired), Indian Police Service and former senior official of the General Secretariat, ICPO-Interpol and Central Bureau of Investigation (India)
- **Dr Li Xuebin,** Probation Service, Ministry of Justice, UK, Lecturer in Chinese Criminal Law, BPP University and former senior officer, Public Security Bureau, People's Republic of China

- **Dr Kadir Ozkan,** Director International Affairs Division, Forensic Department, Turkish National Police of Turkey
- **Professor William Tupman,** Visiting Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter, UK
- **Lic Gaston Schulmeister,** National Director, Regional and International Legal Co-operation, Ministry of National Security, Government of Argentina

PARALLEL PLENARY WORKSHOP XII – 08:00 to 10:30 – EDS Room

The role and responsibilities of monitors

Chair: Ms Pamela J. Parizek, Partner, Advisory Service, KPMG LLP, Washington, USA
Ms Elizabeth Radigan, Vice President, Chief Ethics and Compliance Officer, Diebold, USA
Mr Kevin Abikoff, Partner, Hughes Hubard & Reed LLP, Washington, USA
Ms Amanda Raad, Partner, Ropes and Gray LLP, London, UK
Professor Philip Rutledge, Partner, Bybel and Rutledge LLP, Visiting Professor of Securities Law, BPP University and former Chief Counsel, Pennsylvania Securities Commission, USA
Mr Richard Parlour, Principal, Financial Markets Law International, UK
Mr David Kirk, Partner, McGuireWoods LLP and former Chief Criminal Counsel, Enforcement and Financial Crime, Financial Service Authority, UK

10:30 **Coffee**

10:45 **Session VII: Facilitator Liability**

Chair**:** **Professor Barry A.K Rider,** Co-Chairman of the Symposium, Professorial Fellow, Centre of Development Studies, University of Cambridge

- **Mr Steven Toscher,** Partner, Hochman, Salkin, Rettig, Toscher & Perez, P.C., USA
- **Mr Mark Turkington,** UK Head of Sanctions, HSBC and former Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia
- **Professor Alastair Hudson,** Professor of Equity and Finance Law, University of Exeter, UK
- **Mr Frederic Raffray,** Crown Advocate, Guernsey
- **Mr Garrett Lynch,** Deputy Chief, Major Crime Bureau, New York County District Attorney's Office, USA
- **Mr Ayotunde Ogunsakin,** Commissioner of Police, Special Fraud Unit, Nigeria
- **Mr Richard Parlour,** Principal, Financial Markets Law International, UK
- **Mr Chi-hao Kao,** Director, International Operations Division, Investigation Bureau, Ministry of Justice, Taiwan
- **Mr Andrew Boye-Doe,** Secretary and Director, Bank of Ghana, Ghana
- **Mr David Little,** Senior Manager, Intelligence Hub, National Crime Agency, UK
- **Dr Cécile Ringgenberg,** founder, Law Office of C Ringgenberg, Geneva, Switzerland
- **Ms Iliana Duderstadt,** Legal Counsel, Hengst Automotive Group, Germany
- **The Hon Dr Julián Ercolini,** Federal Criminal Judge, Argentina
- **Mr Ian Mason,** Legal Director, DLA Piper UK LLP, London, UK
- **Professor Wei Yee Wan,** Associate Professor, Singapore Management University, Singapore

PARALLEL PLENARY WORKSHOP XIII – 10:45 to 12:30 – Coleridge Room

Towards a new model of money laundering: the death of placement, layering, and integration

Chair: Mr Stephen Sterling, Assistant Crown Attorney, Guns and Gangs Taskforce, Ministry of the Attorney General, Canada
Mr Stefan Cassella, Asset Forfeiture Law LLC and former Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA
Professor Mike Levi, Professor of Criminology, Cardiff University, President of the US National White Collar Crime Research Consortium and member of the Group of Experts on Corruption, European Commission, UK
Mr Jeff Simser, Legal Director-Agencies, LHIN Legal Services Branch, Local Health Integration Network and former Legal Director, Ministry of the Attorney General, Canada
Ms Jennifer Fiddian-Green, Partner, Grant Thornton, Canada

PARALLEL PLENARY WORKSHOP XIV – 10:45 to 12:30 – EDS Room

Deferred Prosecution Agreements – where we are and where we would like to be!

Chair: Mr Sasi-Kanth Mallela, Special Counsel, K&L Gates LLP, UK
Ms Kristin Jones, Head of Specialist Fraud Division, Crown Prosecution Service for England and Wales, UK and former Head of Strategic Relations & International Assistance Division, Serious Fraud Office of England, Wales and Northern Ireland, UK
Mr Matthew Wagstaff, Head of Bribery and Corruption, Division A, Serious Fraud Office of England, Wales and Northern Ireland, UK

Ms Bridget Brennan, The Special Prosecutor for Narcotics, Office of the Special Narcotics Prosecutor, City of New York, USA
Ms Polly Sprenger, Of Counsel, Eversheds LLP, London, UK

PARALLEL PLENARY WORKSHOP XV – 10:45 to 12:30 – Creswick Room

Organised under the auspices of the **SME Alliance**

Fraud in and by banks - the ugly truth!

Chair: Mrs Nikki Turner, Director SME Alliance Ltd, UK
Mr Nick Gould, Senior Advisor, Gunnercooke LLP and Director, SME Alliance, UK
Mr Andy Keats, formerly of the Metropolitan Police and Director of the Serious Banking Complaints Bureau, UK
Mr Stephen Harvey QC, Shensmiths Barristers, UK
Mr Clive May, Businessman, UK
Mrs Heather Buchanan, Director of Policy, All Party Parliamentary Group for Fair Business Banking, UK
Mr Nigel Henderson, former Chairman of Angus Tourist Board, UK
Mr Mark Wright, formerly of the Royal Bank of Scotland
Mr James Hurley, Journalist and Enterprise Editor, The Times, UK

13:00 **Lunch** in Hall and Upper Hall, Jesus College

14:00 **Session VIII: Protection of other people's information and data**

Chair: **Sir Kenneth Warren,** Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK

- **Ms Marty Rolle,** Partner, Bryan Cave LLP, London, UK
- **Mr Dave Porter,** Associate Partner, Cognitive Solutions, IBM, UK
- **Mr John Harris,** Director General, Jersey Financial Services Commission, States of Jersey
- **The Hon Dr Mariano Scotto**, Criminal Judge, Court of Appeal, Argentina
- **Mr Stephen Grey,** Special Correspondent, Reuters Enterprise Team, UK
- **Professor Shi Yanan,** Professor and Vice Dean, Law School, Renmin University, Beijing, People's Republic of China
- **Mr Karim Rajwani,** Global Head of Anti Financial Crime IT Strategy, Deutsche Bank, former Vice President and Chief AML Officer, Global AML Compliance, Royal Bank of Canada, Canada
- **Mr David Gray,** Manager and Chief Counsel, Proceeds of Crime Litigation, Australian Federal Police, Australia
- **Mr Jeff Simser,** Legal Director-Agencies, LHIN Legal Services Branch, Local Health Integration Network and former Legal Director, Ministry of the Attorney General Canada
- **Dr Alice Hutchings,** Senior Research Associate, Computer Laboratory, University of Cambridge, UK
- **Mr Albert Tellechea,** Partner, Holland and Knight LLP, USA

PARALLEL PLENARY WORKSHOP XVI – 14:00 to 16:00 – EDS Room

How has the burden of proof shifted in asset recovery cases? Can this be a model in laundering cases where there has been dishonest concealment?

Chair: Mr Kenneth Murray, Head of Forensic Accountancy, Police Scotland, Scotland, UK
Dr Ana Rita Duarte Campos, Lawyer, Morais Leitão, Galvão Teles, Soares Da Silva, Soc. Advogados R.L, Portugal
Mr Jeff Simser, Legal Director-Agencies, LHIN Legal Services Branch, Local Health Integration Network and former Legal Director, Ministry of the Attorney General Canada
Dr Peter German, Regional Deputy Commissioner, Correctional Service and former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police, Canada
Mr Stefan Cassella, Asset Forfeiture Law LLC and former Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA
Mr Jeffrey Bryant, Crown Advocate, Proceeds of Crime Unit, Crown Prosecution Service for England and Wales, UK

PARALLEL PLENARY WORKSHOP XVII – 14:00 to 16:00 – Coleridge Room

Whose fault is it anyway: the approaches of the US and UK to corporate criminal liability – a defence perspective!

Chair: Ms Kathryn Arnot Drummond, Barrister, 25 Bedford Row, London, UK
Mr Paul Hynes QC, Barrister, 25 Bedford Row, London, UK
Mr Simon Pentol, Barrister, 25 Bedford Row, London, UK
Mr Nathaniel Rudolf, Barrister, 25 Bedford Row, London, UK
Ms Samantha Riggs, Barrister, 25 Bedford Row, London, UK

PARALLEL PLENARY WORKSHOP XVIII – 14:00 to 16:00 – Creswick Room

Organised under the auspices of the **SME Alliance**

Accountability of banks – private prosecutions

Chair: Mr Stephen Harvey QC, Shensmiths Barristers, UK
Mr Steve Middleton, Director, Modus Mediation and Chartered Insurance Institute, UK
Mr Paul Turner, Managing Director, Zenith Publishing, UK
Mr Jonathan Maskew, Co-Founder Shensmiths Barristers, UK
Mr Abhishek Sachdev, Managing Director, Vedanta Hedging Ltd, UK
Mr Mel Loades, Managing Director, Innate Wisdom, UK
Mr Andy Keats, formerly of the Metropolitan Police and Director of SME Alliance and Serious Banking Complaints Bureau, UK
Mr Joel Benjamin, Researcher, Goldsmiths, University of London and Campaigner at Move your Money, UK

16:00 Tea

PLENARY WORKSHOP XIX – 16:15 to 18:30 - Marquee

Cyber resilience in the UK

Under the auspices of the **Federation of Small Businesses in the UK**

Chair: Mr Richard Parlour, Chairman of the Federation of Small Businesses Home Affairs Committee and Managing Director of Financial Markets Law International, UK
Mr Dave Porter, Associate Partner, Cognitive Solutions, IBM, UK
Mr Giles Herdale, Digital Intelligence and Investigation Strategy Lead, College of Policing, UK
Ms Orla MacRae, Head of Private Sector Engagement, Cyber Security & Resilience, Department of Culture, Media and Skills Department of Business, Innovation and Skills, Government of the UK
Mr Iain Wood, Head of Public Affairs, TalkTalk Group, UK
Dr Emma Philpott, CEO, The IASME Consortium Ltd, UK

PLENARY WORKSHOP XX – 16:15-17:15 – EDS Room

Shifting spheres of responsibility
The post-recession quest for accountability in US Society

Chair: Professor Jim Fisher, Shaughnessy Fellow, Emerson Ethics Center and Chair, Department of Marketing, John Cook School of Business, Saint Louis University, USA
Professor Muhammad Islam, Department of Economics, John Cook School of Business, Saint Louis University, USA
Professor Jim Gilsinan, Des Lee Endowed Collaborative Vision Professor and formerly Dean of the College of Professional Studies, Saint Louis University, USA

PLENARY WORKSHOP XXI – 16:15-17:15 – Coleridge Room

The threat to world trade caused by the tightening of Financial Crime controls in correspondent banking and how to address it, including using effective technology

Chair: Mr Andrew Clark, Financial Crime Leader and Partner, PwC, London, UK
Mr Jeremy Davey, Senior Manager, Financial Services Advanced Risk and Compliance Analytics (ARCA) Practice, PwC, London, UK
Mr Mark Batts, Senior Advisor, PwC, London, UK

PLENARY WORKSHOP XXII – 16:15-17:15 – Creswick Room

Innovative technology and economic crime – a two edged sword!

Chair: Mr Martin Woods, MLRO, Thomson Reuters, UK
Mr Tim Baker, Global Head of Content Strategy and Innovation, Thomson Reuters, UK
Mr David Sutton, Chief Executive Officer, Viewpoint, UK
Mr Andrew Fletcher, Head of Mobile Application Development, Thomson Reuters, UK

WORKSHOP 9 – 17:30-18:30	**WORKSHOP 10 – 17:30-18:30**
The Revenue Authorities, the man in the street and the big and powerful – a tale of two worlds?	**The investigation of internal frauds**
Mr Tarrant Green, Fellow of the Institute of Chartered	**Mr Andrew Hayman,** former Chief Constable, Norfolk

Accountants in England and Wales and Tax Consultant and Honorary Treasurer of the British Institute of Securities Laws, UK **Professor Julian Hickey,** Fellow of the Chartered Institute of Taxation, Solicitor, Barrister and Professor of Tax Law, BPP University, UK **Mrs Julie Zhuge Wilson,** Partner, UHY Hacker Young LLP, UK and China, Fellow of the Institute of Chartered Accountants in England and Wales, UK and People's Republic of China	Constabulary and Assistant Commissioner for Specialist Operations, Metropolitan Police, UK **Professor William Tupman,** Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter, UK
WORKSHOP 11 – 17:30-18:30 **Wealth management and corporate and trust structures and the prevention of abuse and crime** **Professor David Chaikin,** Associate Professor of Law, University of Sydney Business School, Barrister, and formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat **Mr Nicholas McTaggart,** Founder, The Murinbin Group and former Co-ordinator, Criminal Asset Taskforce, Australian Federal Police, Australia	**WORKSHOP 12 – 17:30-18:30** **Nigeria - A nation in need of deliverance: finding a right balance between fighting corruption and the rule of law** **Dr Obi Peter Okonkwo,** Associate Professor and Head, Department of International Law and Jurisprudence, Anambra State University, Nigeria **Mr Hassan Muhammad SAN,** Principal Partner, Liman, Liman & Co, Abuja, Nigeria **Mr Ikani Agabi,** Principal Counsel, Ikani Agabi & Co, Nigeria **Mr Sirajo Yakubu**, Researcher, Institute of Advanced Legal Studies School of Advanced Study, University of London, UK
WORKSHOP 13 – 17:30-18:30 **Managing critical regulatory and reputational risk to minimise enforcement and penalties** **Ms Hema Lehocky,** Special Investigations, Telia Company, Sweden **Mr Nicholas Walmsley,** Director, Head of Compliance and Risk Culture Training, Deutsche Bank AG, London	**WORKSHOP 14 – 17:30-18:30** **Organised Crime as a business** **Mr Dave Copley,** Organised crime and money laundering investigator, UK and formerly of the Serious Organised Crime Agency, UK
WORKSHOP 15 – 17:30-18:30 **Beyond the fraud triangle: Swiss and Austrian fraudsters – a case study** **Dr Alexander Schuchter,** Lecturer in Criminology, University of St Gallen, Switzerland	**WORKSHOP 16 – 17:30-18:30** **Prosecution-like actions by multi-lateral development banks** **Mr Kevin Abikoff,** Partner, Hughes Hubbard & Reed LLP, USA

Alternative Programme 14:00 to 17:00 – Wednesday 7th September 2016
Sidney Sussex, College

Human trafficking, smuggling, exploitation and slavery

Where does the buck stop?

Chair: Mr Graham Ritchie, Judge of the First Tier Tribunal, Solicitor, former Consultant to the Commonwealth Secretariat and Head of IPTU, Institute of Advanced Legal Studies, University of London, UK

- **Mr Mark Burns-Williamson,** Police and Crime Commissioner, West Yorkshire Constabulary
- **Professor Yasunobu Sato,** Professor of Law and Director of the Human Security Programme, University of Tokyo, Japan
- **Professor William H. Byrnes,** Professor of Law and Associate Dean (Special Projects), Faculty of Law, Texas A & M University, USA
- **Dr Elif Cetin,** Research Fellow, Wolfson College, University of Cambridge, UK, Postdoctoral Researcher (Migration Project), Von Hugel Institute, St. Edmund's College, Cambridge, UK
- **Mr Richard Kotter,** Programme Leader and Senior Lecturer in Economic and Political Geography, Northumbria University and country co-ordinator for Amnesty International UK
- **Professor Kadriye Bakirci,** Professor of Labour Law, Hacettepe Universitesi, Turkey and Visiting Scholar, Wolfson College, Cambridge, UK
- **Dr Frank Laczko,** Head, Data Analysis Centre, International Organization for Migration, Berlin, Germany
- **Professor Mario Vinković,** Jean Monnet Chair in EU Labour, Law and Vice-Rector, University of Osijek, Croatia
- **Dr Thanos Maroukis,** Research Fellow, Department of Social and Policy Sciences, University of Bath, UK
- **Dr Shima Keene,** Senior Analyst and Consultant, Thames Valley Police and Director, Security Economics Programme, Institute of Statecraft, UK
- **Dr Nancy Baldwin,** Attorney at Law, Florida, USA

- **Mr Gilbert Rosales,** Consultant, Manila, Philippines
- **Mr Glynn Rankin,** Director of Rankin and Kinsella Associates, Independent Human Trafficking Experts and former Director, Legal Services, UK Human Trafficking Centre, UK Government
- **Dr Silvina Rivarola**, Director, International Affair Division, Financial Intelligence Unit, Argentina
- **Professor Johan Henning,** Senior Professor of Mercantile Law, Distinguished Emeritus Professor and sometime Dean of Law, University of the Free State, Republic of South Africa
- **Dr Peter German,** Regional Deputy Commissioner, Correctional Service and former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police, Canada
- **Dr Richard Alexander,** Lecturer in Financial Law, School of Oriental and African Studies, University of London and former consultant to the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA)
- **Dr Inês Sofia de Oliveira,** Research Fellow, Centre for Financial Crime & Security Studies, Royal United Services Institute for Defence & Security Studies (RUSI), UK
- **Dr Li Xuebin,** Probation Service, Ministry of Justice, UK, Lecturer in Chinese Criminal Law, BPP University and former Senior Officer, Public Security Bureau, People's Republic of China

18:45 **Cocktails** in the Marquee generously sponsored by **Mayer Brown LLP**

19:15 **Emerald Awards** for contributions to the **Journal of Financial Crime** and the **Journal of Money Laundering Control**

19:30 **Dinner** in Hall and Upper Hall, Jesus College generously sponsored by **The Journal of Financial Crime and The Journal of Money Laundering Control**

After-dinner Addresses The Rt Hon Dominic Grieve PC, QC MP, Chairman of the Joint Committee of the House of Commons and House of Lords on National Security Strategy, former Attorney General of England and Wales and Advocate General for Northern Ireland and former Shadow Secretary of State for the Home Department and Justice, and **Alderman Dr Andrew Parmley,** Alderman and former Sheriff of the City of London introduced by **Mr Philip Rutledge,** Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA with a vote of thanks proposed by **Professor Peter Crisp,** Barrister (England and Wales), Dean of Law and Chief Executive, BPP University, London, UK

Thursday, 8th September 2016

08:00 **Session IX: Anti-Money Laundering obligations – facilitator liability par excellence!**

Chair: **Mr Oliver Sells QC,** 5 Paper Buildings, Master of the Bench of the Inner Temple and Recorder of the Crown Court, England and Wales, UK

- **Mr Yehuda Shaffer,** Deputy State Attorney (Financial Crime), Israel
- **Mr Stefan Cassella,** Asset Forfeiture Law LLC and former Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA
- **Ms Paula Reid,** Partner, A&L Goodbody, Dublin, Ireland
- **Mr Jeffrey Bryant,** Crown Advocate, Proceeds of Crime Unit, Crown Prosecution Service for England and Wales, UK
- **Professor Irina Abramova,** Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow, Russia
- **Mr Richard Jackson,** Deputy Director of Risk and Intelligence Service, HM Revenue and Customs, UK
- **Mr Adam Mansour,** Group Chief Compliance Officer, First National Bank Sal, Lebanon
- **Mr Mark Compton,** Partner, Mayer Brown LLP, London, UK
- **Dr Massimo Nardo,** Co-ordinator, Organised and Economic Crime, National Financial Intelligence Unit, Banca d'Italia, Italy
- **Mr Zheng Yu,** Partner, Jun He, Beijing, People's Republic of China
- **Mr Muralidharan Pillai,** Head, Commercial Litigation, Rajah & Tann Singapore LLP, Singapore
- **Ms Angela Foyle,** Partner, MLRO and Head of Financial Services and Tax, BDO LLP and chairman of the ICAEW Money Laundering Committee, UK
- **Ms Wei Xiaona,** Associate Professor, Law School, Renmin University, Beijing, People's Republic of China
- **Mr Brian Sapati,** Deputy Director General, of the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA) and former Director, The Serious Fraud Office, Ghana
- **Mr Rupert Jones,** 3PB Chambers and former Attorney General and Director of Public Prosecutions, Anguilla, BWI
- **Dr Samah Aga,** Assistant Professor, Dar Al Hekma University, Kingdom of Saudi Arabia

PARALLEL PLENARY WORKSHOP XXIII – 08:00 - 10:45 – Coleridge Room

The implementation of good governance procedures – aspiration over experience?

Chair: Professor Chizu Nakajima, Chair of the British Japanese Law Association, Professor of Comparative Law, BPP University and Affiliated Lecturer, University of Cambridge and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK
The Hon John Maher III, Member and Speaker *pro tempore*, House of Representatives, Commonwealth of Pennsylvania and Vice Chairman, Centric Bank, USA
Professor Maria Aluchna, Associate Professor, Warsaw School of Economics, Poland
Professor Jim Fisher, Shaughnessy Fellow, Emerson Ethics Center, and Chair, Department of Marketing, John Cook School of Business, Saint Louis University, USA
Dr Shirley Quo, Senior Lecturer, Law School, Murdoch University, Australia
Professor Dayanath Jayasuriya, President's Counsel and former Chairman, Securities and Exchange Commission of Sri Lanka and Consultant to the International Compliance Association
Professor Terry Dworkin, Jack R. Wentworth Professor, Business Law, Kelly School of Business, Dean, Office for Women's Affairs, Indiana University, USA
Dr Jacob Schumacher, Senior Tutor, School of Oriental and African Studies, University of London and Lecturer in Financial Law, BPP University, UK
Professor Yarik Kryvoi, Director, CIS Arbitration Forum, Professor of Law, University of West London
Dr WangWei Lin, Senior Lecturer, Westminster Business School, University of Westminster, UK
Dr Sara Ashrafkashani, Attorney at Law and Legal Consultant, Iran
Dr Iris Chiu, Reader in Law, University College London, UK

PARALLEL PLENARY WORKSHOP XXIV – 08:00 - 10:45 – EDS Room

Financial crime and governance issues related to the FIFA and other scandals

Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
Mr Robert Targ, Partner, Diaz Reus & Targ LLP, Miami, USA
Mr Adam Kaufmann, Partner, Lewis, Baach, Kaufmann and Middlemiss, and former Executive Assistant District Attorney and Chief of the Investigation Divisions, New York County District Attorney's Office, USA
Mr Daniel Kinzer, Attorney at the Geneva Bar, Law firm CMS Von Erlach Poncet, Genève, Switzerland
Mr Vladimir Aras, Federal Circuit Prosecutor and Head of the International Cooperation Unit in the Office of the Brazilian Prosecutor General, Brazil

10:45 **Coffee**

11:00 **Session X: Where does the buck stop in preventing and controlling bribery and corruption?**

Chair: **Mr Yehuda Shaffer,** Deputy State Attorney (Financial Crime), Israel

- **Mr Vivian Robinson QC,** Partner, McGuireWoods LLP, London, former General Counsel, Serious Fraud Office of England, Wales and Northern Ireland and former Master Treasurer of the Inner Temple, UK
- **Mr Mosese Korovou,** Deputy Director of Public Prosecutions, Fiji
- **Mr Robert Barrington,** Executive Director, Transparency International UK
- **Professor George Millard,** Partner, Performance Global Risk Management and Security Consultants, Professor, Sao Paulo Police Academy, President, International Police Association (Brazil) and former Chief of Police and Director of Security, Sao Paulo, Brazil
- **Ms Susan Galli,** Managing Director, Advisory Services Risk and Regulatory, PwC, USA
- **Ms Polly Greenberg,** Managing Director, Financial Crime & Regulatory Consulting, Duff & Phelps, LLC
- and former Chief, Major Crimes Bureau, New York County District Attorney's Office, USA
- **Dr Alessandro Napolitano,** Legal Director, SACE S.P.A, Italy
- **Mr Jonathan Benton,** Detective Superintendent, Joint Head of Corruption Unit, National Crime Agency, UK
- **Mr Beney Wong,** Senior Public Prosecutor, Prosecutions Division, Department of Justice, Hong Kong SAR, People's Republic of China
- **Mr James Russell Mitra,** Senior Manager, International Corruption Unit, Economic Crime Commajd, National Crime Agency, UK
- **Mr Nicholas Walmsley,** Director, Head of Compliance and Risk Culture Training, Deutsche Bank AG, London, UK
- **Ms Mary Greenhow,** Senior Lawyer, Asian Development Bank, Philippines
- **Dr Joachim Kaetzler,** Partner, CMS Hasche Sigle, Germany
- **Mr Barry Faudemer,** Director of Enforcement, Jersey Financial Services Commission, Jersey
- **Mr Patrick Moulette,** Directorate for Financial and Enterprise Affairs, OECD, France
- **The Hon Judge Dr Abed Elrehim Alkashif,** Judge of the Court of Appeal, Cairo, Egypt

PARALLEL PLENARY WORKSHOP XXV – 11:00 - 13:00 – Coleridge Room

Minimizing opportunities for economic crime through effective governance

Chair: Professor Dayanath Jayasuriya, President's Counsel, Consultant to the International Compliance Association and former Chairman, Securities and Exchange Commission of Sri Lanka
Ms Jane Diplock, Former Chairperson New Zealand Securities Exchange Commission and Director, Singapore Stock Exchange
Mr Mano Tittawella, former, Director General, Office of the President of Sri Lanka
Mr Philip Rutledge, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
Mr Romesh Bhattacharji, former Chief Commissioner of Customs and Commissioner of Narcotics, Government of India
Professor David Chaikin, Associate Professor of Law, University of Sydney Business School, Barrister, and formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat
Ms Pavithri Vithanage, Senior Assistant Controller, Central Bank of Sri Lanka
Professor Chizu Nakajima, Chair of the British Japanese Law Association, Professor of Comparative Law, BPP University and Affiliated Lecturer, University of Cambridge and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK
Mrs Nishadi Tennekoon, Senior Assistant Director of the Department of Supervision of Non-Bank Financial Institutions, Sri Lanka
Mr Richard Parlour, Chairman of the Federation of Small Businesses Home Affairs Committee and Managing Director of Financial Markets Law International, UK
Professor George Millard, Partner, Performance Global Risk Management and Security Consultants, Professor, Sao Paulo Police Academy, President, International Police Association (Brazil) and former Chief of Police and Director of Security, Sao Paulo, Brazil

PARALLEL PLENARY WORKSHOP XXVI – 11:00 - 13:00 – Creswick Room

Fighting Economic Crime in Central and Eastern Europe – the role of compliance

Chair: Mr Istvan Lengyel, Secretary General, Banking Association for Central and Eastern Europe, Hungary
Mr Levente Kovacs, Chairman, Hungarian Banking Association, Hungary
Mr Milan Radovic, CEO of Nova Banka, Banja Luka, Bosnia and Herzegovina
Dr Emese Racz, Legal Advisor, Hungarian Banking Association, Hungary

PARALLEL PLENARY WORKSHOP XXVIa – 11:00 - 13:00 – EDS Room

The viability of Private Prosecutions in cases of economic crime – the practical and legal issues

Chair: Ms Polly Sprenger, Of Counsel, Eversheds LLP, London, UK
Ms Hannah Laming, Partner, Peters and Peters, London, UK
Mr Adrian Darbishire QC, QEB Hollis Whiteman, London, UK

13:00 **Lunch** in Hall and Upper Hall

14:00 **Session XI: The risks (and responsibilities) of lawyers and other professional advisers in inhibiting financial and economic crime**

Chair: **Mr Michael Ashe QC SC,** 9 Stone Buildings, London, Co- Chairman of the Symposium, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple

- **The Hon John Maher III,** Member and Speaker *pro tempore*, House of Representatives, Commonwealth of Pennsylvania and Vice Chairman, Centric Bank, USA
- **The Hon Mr John Jeremie SC,** University of the West Indies St Augustine, Trinidad and Tobago and former Attorney General and High Commissioner for Trinidad and Tobago to the United Kingdom, Jamaica
- **Professor Maria Angeles Perez Cebadera,** Professor of Law, Jaume University, Spain
- **Mr John Moscow,** Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Saul M Froomkin QC,** Director, Head of Litigation, BeesMont Law Limited and former Attorney General of Bermuda
- **Mr Stephen Bullow,** Barrister and Solicitor, Brisbane, Australia
- **Mr Lanier Saperstein,** Partner, Dorsey & Whitney, Adjunct Professor, Fordham Law School, New York, USA
- **Ms Carol van Cleef,** Partner, Manatt Phelps & Phillips, USA
- **Professor Mario Serio,** Professor of Comparative Law, University of Palermo, Italy and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Italy
- **Mr Jason Woodland,** Special Counsel, Commercial Litigation, Civil Fraud and Asset recovery, Peters and Peters, London, UK
- **Datuk Simon Shim,** Senior Partner, M/S Sim Pang & Co, Malaysia

- **Dr Anastasia Suhartati,** Lecturer, Criminal Law Department, Faculty of Law, University of Surabaya, Indonesia
- **Dr Maryam Alsuwaidi,** Deputy CEO for Licensing Supervision and Enforcement, UAE Securities and Commodities Authority, United Arab Emirates
- **Professor Dayanath Jayasuriya,** President's Counsel and former Chairman, Securities and Exchange Commission of Sri Lanka and Consultant to the International Compliance Association, Sri Lanka
- **Ms Jane Ellis,** Director, Legal Policy & Research Unit, International Bar Association

PARALLEL PLENARY WORKSHOP XXVII - 14:00 - 16:00 – Creswick Room

Organised under the auspices of the **Cabinet Office Government of the UK**

Convenor: Mr Mark Cheeseman, Head of Fraud and Error Policy, Deputy Director, Fraud, Error and Debt Team, Cabinet Office, Government of the UK and former Head of the Counter-Fraud and Investigations Department, The Legal Aid Agency, UK
Ms Laura Eshelby, Head of Counter Fraud Standards, Fraud, Error and Debt Team, Cabinet Office, Government of the UK
Ms Kiersty Griffiths, Head of Management and Strategy Standards, Fraud, Error and Debt Team, Cabinet Office, Government of the UK

PARALLEL PLENARY WORKSHOP XXVIII - 14:00 - 16:00 – Coleridge Room

Dirty water, unclean hands? Case study of fraud at a multilateral development bank

Chair: Ms Jelena Madir, Senior Counsel and Secretary to the Enforcement Committee of the European Bank for Reconstruction and Development, London, UK
Ms Lisa Rosen, Chief Compliance Officer, the European Bank for Reconstruction and Development, London, U
Ms Mary Greenhow, Senior Lawyer, Asian Development Bank, Philippines
Ms Elizabeth Lin Forder, Secretary to the World Bank Group Sanctions Board, Washington, DC, USA
Ms Mariam Diawara, Secretary to the Sanctions Appeals Board at the African Development Bank, Abidjan, Ivory Coast
Mr Edson Mori, Executive Secretary, Sanctions Committee, Inter-American Development Bank, USA
Mr Jamieson Smith, Senior Counsel (Sanctions), Office of Suspension and Debarment (OSD), World Bank, Washington, DC, USA
Mr Bernardo Weaver, Partner, Mayer Brown LLP, Sao Paolo, Brazil
Professor Tina Søreide, Norwegian School of Economics, Bergen, Norway

PARALLEL PLENARY WORKSHOP XXIX - 14:00 - 16:00 – EDS Room

Unclaimed assets and dormant bank accounts – the future

Chair: Mr James Mews, Director, Finance Industry Development, Chief Minister's Department, States of Jersey
Mr Nick O'Donohoe, UK Dormant Assets Commission
Mr Paul Willis, Head of Insight and Peer Analysis, Grant Thornton LLP, UK
Mr Adrian Smith, Managing Director, UK Reclaim Fund, UK

16:00 **Tea**

PLENARY WORKSHOP XXX -16:15 - 17:15 – Coleridge Room

Control liability – making it stick!

Chair: Mr Barry Faudemer, Director of Enforcement, Jersey Financial Services Commission, States of Jersey
Ms Amina Maknoon, Senior Director, Investigation and Enforcement, Jamaica Financial Services Commission, Jamaica
Mr Andrew le Brun, Moneval Secretariat, Council of Europe, France
Mr John Harris, Director General, Jersey Financial Services Commission, States of Jersey
Mr John London, Fin-Net Co-ordinator, Financial Conduct Authority, UK

PLENARY WORKSHOP XXXI - 16:15 – 17:15 - Marquee

Art theft and related issues

Mr Charles Hill, Artrisk UK and formerly of the Arts and Antiques Squad, Metropolitan Police, UK
Mr William Veres, Antiquarian, London, UK
Dr Saskia Hufnagel, Lecturer in Criminal Law, School of Law, Queen Mary University of London, UK

PLENARY WORKSHOP XXXII – 16:15 – 17:15 – EDS Room

Tracing stolen assets

Mr Alexander Carter-Silk, Partner and Head of European Intellectual Property, Brown Rudnick LLP, London, UK

Mr Howard Sharp QC, former HM Solicitor General of the States of Jersey
Ms Tamlyn Edmonds, Partner, Edmonds Marshall McMahon, London, UK
Mr Ben Oguntala, CEO, Security in Africa, London, UK

PLENARY WORKSHOP XXXIII – 16:15-17:15 – Creswick Room

Corporate criminal liability – the case for reform

Ms Jane de Lozey, Joint Head, Fraud Division, Serious Fraud Office of England, Wales and Northern Ireland, UK
Ms Jennie Haslett, Corporate Crime and International Engagement, The Centre for Offshore Evasion Strategy, HM Revenue and Customs, UK
Mr Ronan Duff, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland, UK
Ms Hannah Von Dadelszen, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland, UK

WORKSHOP 17 – 17:30-18:30

International illegal wildlife trade and animal protection: case studies and the implications for the financial sector

Mr Nicholas Bruschi, Intelligence Manager, World Animal Protection, UK
Mr Tom Keatinge, Director, Centre for Financial Crime and Security Studies, Royal United Services Institute (RUSI), UK
Mr Euan Grant, Grant and Gutsell Customs, Tax & Border Control Consultant, formerly of HM Customs and Excise UK

WORKSHOP 18 – 17:30-18:30

Control responsibility in the financial services industry – the practical issues
Under the auspices of **the Bar Association for Commerce, Finance and Industry**

Ms Christiane Valansot, Barrister, former General Counsel, Investment Management Association and chair of the Bar Association for Commerce, Finance and Industry, UK
Professor Stuart Bazley, Barrister, Professor in Financial Regulation and Compliance, BPP University and Director Medius Consulting, UK

WORKSHOP 19 – 17:30-18:30

Counterfeit fertilizers - how to poison our children!

Dr Frank Madsen, Affiliated Lecturer, Centre for Development Studies, University of Cambridge and former senior official, General Secretariat, ICPO-Interpol
Mr D'Arcy Quinn, Director, Anti-Counterfeiting, CropLife International

WORKSHOP 20 – 17:30-18:30

Human Rights and business in Myanmar – a case study

Professor Yasunobu Sato, Professor of Law and Director of the Human Security Programme, University of Tokyo, Japan
Mr Takeshi Hayakawa, Associate, Nagashima, Ohno and Tsunematsu, Japan

WORKSHOP 21 – 17:30-18:30

Slavery and organised crime

Mr Graham Ritchie, Judge of the First Tier Tribunal, Solicitor, former Consultant to the Commonwealth Secretariat and former Head of IPTU, Institute of Advanced Legal Studies, University of London, UK
Professor William Tupman, Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter, UK
Dr Li Xuebin, Probation Service, Ministry of Justice, UK, Lecturer in Chinese Criminal Law, BPP University and former senior officer, Public Security Bureau, People's Republic of China
Mr Dominic Thomas-James, Barrister and Researcher, Centre of Development Studies, University of Cambridge, UK

WORKSHOP 22 – 17:30-18:30

Initiatives against economic crime in Africa

Dr Richard Alexander, Lecturer in Financial Law, School of Oriental and African Studies, University of London and former consultant to the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA)
Mr Brian Sapati, Deputy Director General, of the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA) and former Director, The Serious Fraud Office, Ghana
Professor Johan Henning, Senior Professor of Mercantile Law, Distinguished Emeritus Professor and sometime Dean of Law, University of the Free State, Republic of South Africa
The Hon Justice Olayinka Faji, Judge of the Federal High Court, Nigeria

WORKSHOP 23 – 17:30-18:30

Enforcing director's duties and corporate responsibility from the Chinese perspective

Dr Ye Zhen, Centre of Development Studies, University of Cambridge
Dr WangWei Lin, Senior Lecturer in Law, Westminster Business School, University of Westminster, UK
Dr Huan Joy Zou, Senior Lecturer in International Management, DeFiMs, School of Oriental and African

WORKSHOP 24 – 17:30-18:30

Practitioners and academics – promoting serious discussion!

Professor Chizu Nakajima, Chair of the British Japanese Law Association, Professor of Comparative Law, BPP University and Affiliated Lecturer, University of Cambridge and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK
Mr Nicholas Walmsley, Director, Head of Compliance and

Studies, University of London, UK	Risk Culture Training, Deutsche Bank AG, London, UK
WORKSHOP 25 – 17:30-18:30 **The impact of extra-territorial legislation upon offshore tax and financial centres** **Dr Iris Chiu,** Reader in Law, University College London, UK	**WORKSHOP 26 – 17:30-18:30** **Economic efficiency of tax evasion** **Professor Konrad Raczkowski,** Director of the Institute of Economics, University of Social Sciences, Poland **Professor Bogdan Mróz,** Warsaw School of Economics, Poland

18:45 **Cocktails** in the Marquee generously sponsored by **The British Japanese Law Association**

Dinner in Hall and Upper Hall generously sponsored by **Mrs Yoko Kawaji** and **Ms Hiromi Kawaji** of Anglia Ruskin University, UK

Recital by **Ms Lisa Ueda,** international recitalist and solo violinist

Addresses by **HE Mr Koji Tsuruoka,** Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James, **Alderman Sir Alan Yarrow,** Chairman, Chartered Institute for Securities and Investment and former Lord Mayor and Sheriff of the City of London, UK and **Dr Kwasi Alfred Addo Kwarteng MP**, author and formerly of JP Morgan, introduced by **Professor Chizu Nakajima,** Deputy Director of the Symposium, Professor of Comparative Law, BPP University and Affiliated Lecturer, University of Cambridge and Emeritus Professor of Corporate Law and Governance, London Metropolitan University and Chair, Britain Japan Law Society, UK with a vote of thanks proposed **Professor Louis de Koker,** Professor of Law, School of Law, Faculty of Business and Law, Deakin University, Australia

Friday, 9th September 2016

08:00 **Session XII: Extending the reach of the criminal law – attribution of knowledge and corporate criminal responsibility**

Chair: **Sir Gavin Lightman QC,** Consultant, Asserson & Co, former Judge of the Chancery Division, High Court of Justice of England and Wales and former Master Treasurer of Lincoln's Inn, UK

- **Mr Roger Stewart QC,** 4 New Square, Recorder of the Crown Court of England and Wales and Master of the Bench of the Inner Temple, UK
- **Mr John Reading SC,** Head of Pacific Chambers and former Deputy Director of Public Prosecutions, Hong Kong SAR, People's Republic of China
- **The Hon Mrs Judith Jones-Morgan,** Attorney General, St Vincent and the Grenadines
- **Mr Jaideep Gupta,** Senior Advocate, India
- **Professor Thomas Hurst,** S.T. Dell Professor of Law, University of Florida, Levin College of Law, USA
- **Dr Cheong-Ann Png**, Principal Counsel, Asian Development Bank, Manila, Philippines
- **Professor Janusz Bojarski,** Professor of Criminal Law and Criminal Policy, Nicolas Copernicus University, Poland
- **Professor Cindy Schipani,** Professor of Business Law and Chair, Law, History and Communication, Stephen M. Ross School of Business, University of Michigan, USA
- **Professor Rita Cheung,** Barrister and Consultant, MCA Lai and Co, Hong Kong SAR, People's Republic of China
- **Ms Shaistah Akhtar,** Litigation Partner, King and Wood Mallisons SJ Berwin, London, UK
- **Mr Peter Koy,** Senior State Counsel and Director, Financial Securities Offences Directorate, Financial & Technology Crime Division, Attorney General's Chambers, Singapore
- **Professor David Chaikin,** Associate Professor of Law, University of Sydney Business School, Barrister, and formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat
- **Mr Bernardo Weaver**, Partner, Mayer Brown LLP, Sao Paolo, Brazil
- **Ms Tessa Lorimer,** Special Counsel, Withers LLP and formerly of the Crown Prosecution Service for England and Wales and the HM Revenue and Customs Prosecution Office, UK

PARALLEL PLENARY WORKSHOP XXXIV– 08:00 to 10:45 – Creswick Room

De-risking in the financial sector

Chair: Professor Louis de Koker, Professor of Law, School of Law, Faculty of Business and Law, Deakin University, Australia

Mr Mark Turkington, UK Head of Sanctions, HSBC and former Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia

Mr Robert Axelrod, Director, Forensic Practice, Deloitte Financial Advisory Services LLP, USA

Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

Mr David Artingstall, Consultant and Associate Fellow, Centre for Financial Crime and Security Studies at RUSI, UK

PARALLEL PLENARY WORKSHOP XXXV – 08:00 to 10:45

How we can better use technology to protect us from economic crime?

Chair: Mr Dave Porter, Associate Partner, Cognitive Solutions, IBM, UK
Mr Martin Sutherland, CEO, De La Rue, UK

PARALLEL PLENARY WORKSHOP XXXVI – 09:00 to 10:45 – EDS Room

International Core Principles of Deposit Insurers
An interactive session considering the application of the International Association of Deposit Insurers Core Principles

Convened by Mr James Mews, Director, Finance Industry Development, Chief Minister's Department, States of Jersey
Ms Gail Verley, Secretary-General, International Association of Deposit Insurers
Mr Jan Nolte, Senior Financial Sector Specialist, World Bank, USA
Mr Thierry Dissaux, Chairman, French Deposit Guarantee Scheme, France
Mr Riccardo de Lisa, Interbank Deposit Protection Fund, Italy

10:45 **Coffee**

11:00 **Session XIII: Integrity and governance in Islamic Financial Institutions**

Chair: **Mr Khawar Qureshi QC**, Serle Court, London and McNair Chambers, Qatar

- **Professor Datuk Rifaat Ahmed Abdel Karim,** former Secretary-General, Islamic Financial Services Board, currently Chief Executive Officer, International Islamic Liquidity Management Corporation and Research Professor, International Centre for Education in Islamic Finance (INCEIF), Malaysia
- **Professor Wassim Shahin,** Dean and Professor of Business Economics, Lebanese American University, Lebanon
- **Mr Madzlan bin Mohamed Hussain,** Partner and Head, Islamic Financial Services Practice, Zaid Ibrahim & Co and former General Counsel, Islamic Financial Services Board, Malaysia
- **Professor Simon Archer,** Visiting Professor, ICMA Centre, Henley Business School, University of Reading, and Consultant to the Islamic Financial Services Board, UK
- **Ms Farmida Bi,** Head of Islamic Finance-Europe, Norton Rose Fulbright LLP, London, UK
- **Dr Hatim El-Tahir,** Director, Islamic Finance Group Leader Deloitte ME Islamic Finance Knowledge Centre (IFKC), Deloitte & Touche, Bahrain
- **Dr Sara Ashrafkashani,** Attorney at Law and Legal Consultant, Iran
- **Dr Salim Al-Ali,** Lecturer in Law, College of Law, University of the United Arab Emirates, Abu Dhabi
- **Professor Siti Faridah,** Associate Professor of Law, National University of Malaysia and Advocate and Solicitor, Malaysia
- **Sheikh Faizal Ahmad Manjoo,** Head, Islamic Finance Department, Markfield Institute and Visiting Lecturer in Islamic Finance, BPP University, UK
- **Dr Afroza Begum,** Senior Lecturer in Law, Wollongong University, Australia
- **Mr Serhan Göktürk,** Advisor to the Chief Risk Officer, Saudi Stock Exchange (Tadawul), Kingdom of Saudi Arabia and former Chief Auditor, Borsa Istanbul, Turkey
- **Ms Claire O'Connor,** Non-Executive Director and adviser, former partner RSM, UK

Parallel Session XIV: The reach of the civil law – making them pay and getting back what is not theirs!

Chair: **Professor Barry A.K Rider,** Co-Chairman of the Symposium, Professorial Fellow, Centre of Development Studies, University of Cambridge

- **Ms Wendy Tien,** Deputy Assistant Director, Office of Review and Oversight, former Trial Attorney, Civil Division, US Department of Justice, Executive Office for the United States Trustees, USA
- **Professor Johan Henning,** Senior Professor of Mercantile Law, Distinguished Emeritus Professor and former Dean of Law, University of the Free State, South Africa
- **Professor Louis de Koker,** Professor of Law, School of Law, Faculty of Business and Law, Deakin University, Australia
- **Mr Saul M Froomkin QC,** Director, Head of Litigation, BeesMont Law Limited and former Attorney General of Bermuda
- **Ms Stephanie Jeavons,** Deputy Director, Operations, Economic Crime Command, National Crime Agency, UK

- **Professor Jonathan Fisher QC,** Devereux Chambers, London, UK and Professor of Law, London School of Economics, University of London, UK
- **Professor Rose-Marie Antoine,** Dean, Faculty of Law, University of the West Indies, St Augustine, Trinidad and Tobago
- **Mr Adam Kaufmann,** Partner, Lewis, Baach, Kaufmann and Middlemiss, and former Executive Assistant District Attorney and Chief of the Investigation Divisions, New York County District Attorney's Office, USA
- **Avv Rosario Di Legami,** Advocate and Judicial Conservator, Studio Legale Di Legami, Italy
- **Mr Howard Sharp QC,** former HM Solicitor General of the States of Jersey
- **Professor Michelle Gallant,** Professor of Law, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
- **Professor Janet Ulph,** Professor of Law**,** University of Leicester, UK
- **Mr Guy Wilkes,** Partner, Mayer Brown LLP London, UK
- **Professor Dora Neo,** Associate Professor of Law and Director, Centre for Banking and Financial Law, National University of Singapore
- **Mr Michael Ashe QC SC,** 9 Stone Buildings, London, Co-Chairman of the Symposium, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple

PARALLEL PLENARY WORKSHOP XXXVII – 11:00 to 12:30 – Crewsick Room

International Standards protecting bank deposits

Chair: Mr James Mews, Director, Finance Industry Development, Chief Minister's Department, States of Jersey
Mr Alex Kuczynski, Director of Corporate Affairs, Financial Services Compensation Scheme, UK
Mr Sven Stevenson, Deposit Insurance Expert, Resolution Department, DNB, Amsterdam, Netherlands
Mr Patrick Loeb, Director, Esisuisse, Switzerland

13:00 **Lunch** in Hall and Upper Hall

14:00 **Session XV: Due diligence and access to information – the ways and means!**

Chair: **The Hon Justice Olayinka Faji,** Judge of the Federal High Court, Nigeria

- **Mr Mike Roemer,** Global Head of Compliance, Barclays Bank PLC, London, UK
- **Mr Wilmer Parker III,** Partner, Maloy Jenkins Parker, USA and formerly of the Justice Department, USA
- **Mr James Springer,** AML/CTF Advisor, JPS Consulting, former Resident Financial Enforcement Advisor, Morocco, Namibia and Bulgaria, Office of Technical Assistance, US Department of Treasury, USA
- **Mrs Svetla Konstantinova,** Attorney-at-Law, Sofia, Bulgaria and former Minister Counsellor, Bulgarian Embassy, London
- **Ms Helen Hatton,** Managing Director, Sator Regulatory Consulting Ltd and former Deputy Director, Jersey Financial Services Commission
- **Dr Stephen Strickland,** Head of ABC, Barclaycard, UK
- **Mr David Bacon,** Editor, Business Crime and Investigations, Thomson Reuters Practical Law and formerly of the Serious Fraud Office for England, Wales and Northern Ireland and the Solicitors Regulatory Authority, UK
- **Mr Barnaby Pace,** Senior Campaigner, Governments and Corruption, Global Witness, UK
- **Mr Olivier Dorgans**, Counsel, DLA Piper LLP, France
- **Mr Pierre Antheaume,** Corporate Compliance Officer, European Investment Bank (EIB)
- **Mr Philippe de Koster,** Deputy Director, Belgium Financial Intelligence Processing Unit CTIF-CFI, Belgium
- **Dr Dmitry Gololobov,** Senior Partner, Gololobov & Co, London, UK
- **Mr Fabio Cagnola,** Partner, Studio Legale Bana, Italy
- **Mr Satnam Tumani,** Partner, Kirkland & Ellis International LLP, UK
- **Ms Tanya Gibbs,** Researcher, the Institute of Advanced Legal Studies, University of London, UK and former Lecturer, School of Business Administration, Department of Finance, American University of Sharjah, United Arab Emirates
- **Ms Line Brummerstedt Stougaard,** Manager, Global Regulatory Experts, Nordea Bank, Denmark
- **Dr George Demetriades,** Lecturer in Law, Neapolis University, Cyprus and Advocate Partner Andreas Chr. Demetriades LLC, Cyprus

PARALLEL PLENARY WORKSHOP XXXVIII – 14:00 to 16:00 - Creswick Room

Resolution of banks – Current issues

Chair: Mr James Mews, Director, Finance Industry Development, Chief Minister's Department, States of Jersey
Mr Guiseppe Boccuzzi, Director-General, Interbank Deposit Protection Fund, Italy
Mr Geoff Davies, Head of Resolution Policy Division, Bank of England, UK
Mr Mike Jervis, Partner, PwC, UK
Mr Charles Canonne, European Banking Authority, London, UK
Ms Anna Cox, Director, PwC, UK

PARALLEL PLENARY WORKSHOP XXXIX – 14:00 to 16:00 – EDS Room

Islamic Finance – the potential and the pitfalls!

Chair: Professor Datuk Rifaat Ahmed Abdel Karim, former Secretary-General, Islamic Financial Services Board, currently Chief Executive Officer, International Islamic Liquidity Management Corporation and Research Professor, International Centre for Education in Islamic Finance (INCEIF), Malaysia
Dr Hatim El-Tahir, Director, Islamic Finance Group Leader Deloitte ME Islamic Finance Knowledge Centre (IFKC), Deloitte & Touche, Bahrain
Mr Madzlan bin Mohamed Hussain, Partner and Head, Islamic Financial Services Practice, Zaid Ibrahim & Co and former General Counsel, Islamic Financial Services Board, Malaysia
Ms Farmida Bi, Head of Islamic Finance-Europe, Norton Rose Fulbright LLP, London, UK
Ms Claire O'Connor, Non-Executive Director and adviser, former partner RSM, UK

16:00 **Tea**

PLENARY WORKSHOP XL – 16:15 - 17:15 – Creswick Room

Exploring different applied risk models for AML/CFT

Chair: Dr Dionysios S. Demetis, Lecturer, Hull University Business School, UK
Dr Nathalie Rébé, Assistant Professor, School of International Public Law, University of Burgundy, France
Professor Stavros Katsios, Vice-Rector of Economic Affairs, Ionian University, Greece
Mrs Noemi Tambe, Researcher, Hull University Business School, UK
Mr Alexei Poulin, Managing Director, Clingstone Ltd, UK

PLENARY WORKSHOP XLI – 16:15 - 18:30 - Marquee

The initiatives that have been taken against mafia penetration of business and in particular financial institutions – an Italian perspective

Chair: Professor Antonello Miranda, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy
Avv Rosario Di Legami, Advocate and Judicial Conservator, Studio Legale Di Legami, Italy
The Hon Dr Geri Ferrara, Counsel General of the Procuratore Nazionale Antimafia presso la Direzione Nazionale Antimafia (National Anti-Mafia Commission), Italy
Professor Salvatore Casabona, , Department of Political Sciences, University of Palermo, Italy
Professor Giorgio Giannone Codiglione, Adjunct Professor of Comparative Law, Department of Political Sciences and International Relations, University of Palermo, Italy
Professor Paola Monaco, Adjunct Professor of Human Rights Law, Department of Law, University of Trieste, Italy
Avv. Maria Bruccoleri, Financial Crimes and Compliance Lawyer, Milan, Italy
Dr Federico Tosi, Researcher, University of Palermo
Dr Stefano Insinga, Researcher, University of Palermo

PLENARY WORKSHOP XLII– 16:15 - 17:30 – EDS Room

Solving bank failure!

Chair: Mr James Mews, Director, Finance Industry Development, Chief Minister's Department, States of Jersey, UK
Professor Sarah Paterson, Associate Professor, London School of Economics and Senior Consultant to Slaughter and May, UK
Mr Timothy Buenker, Policy Advisor, Banking Supervision, European Banking Federation, Belgium

PLENARY WORKSHOP XLIII – 16:15 - 17:30

Investigating the misuse of public funds: legal and operational impediments

Chair: Professor Dayanath Jayasuriya, President's Counsel and former Chairman, Securities and Exchange Commission of Sri Lanka and Consultant to the International Compliance Association
Mr Richard Parlour, Chairman of the Federation of Small Businesses Home Affairs Committee and Managing Director of Financial Markets Law International, UK
Professor Yarik Kryvoi, Director, CIS Arbitration Forum, Professor of Law, University of West London
Dr Peter German, former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police and currently Regional Deputy Commissioner, Correctional Service, Canada

WORKSHOP 27 – 17:30-18:30	WORKSHOP 28 – 17:30-18:30
Current issues related to trade based money laundering and enforcement of sanctions **Mr Clark Abrams,** Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA **Mr Robert Targ,** Partner, Diaz Reus & Targ LLP, Miami, USA **Professor Dora Neo,** Associate Professor of Law and Director, Centre for Banking and Financial Law, National University of Singapore	**Measuring and achieving operational effectives for AML and/or FC policies?** **Mr Matthew Russell,** Partner, Forensic Services, PwC, London, UK **Mr Graham O'Connell,** Partner, Financial Services Performance Improvement Consulting, PwC, London, UK **Ms Fiona Raistrick,** Partner, Financial Services Advisory, BDO (UK) LLP, UK **Mr Stephen Evans,** Chartered Financial Planner and Director, Evans Hart, UK **Ms Angela Foyle,** Partner and MLRO, BDO (UK) LLP, UK
WORKSHOP 29 – 17:30-18:30	**WORKSHOP 30 – 17:30-18:30**
Policing the regulated – do ex-law enforcement professionals have a role to play in financial services? **Dr Stephen Strickland,** Head of ABC, Barclaycard and former Detective Inspector, Office of National Police Co-ordinator for Economic Crime, City of London Police, UK **Mr Stephen Head,** Chief Security Officer, Virgin Money former Commander, City of London Police, UK **Mr Mark Sullivan,** Head, Fraud Risk Programs, Interac Association, Canada **Mr Tony Crampton,** Consultant and former Detective Superintendent, City of London Police, UK	**Insider dealing, manipulation and fraud on the Chinese financial markets – the pursuit of stability!** **Dr Ye Zhen,** Centre of Development Studies, University of Cambridge **Professor Barry Rider,** Professorial Fellow, Centre of Development Studies, University of Cambridge **Mr Enze Liu,** Researcher, Institute of Advanced Legal Studies, University of London, UK
WORKSHOP 31 – 17:30-18:30	**WORKSHOP 32 – 17:30-18:30**
Protection of Whistle-Blowers, Witnesses and Police Informers in the Investigation of Transnational Crime **Mr Mike Dixon,** Researcher and former Detective Inspector Metropolitan Police London, UK **Mr Simon Natas,** Partner, ITN Solicitors, UK	**Challenges for an effective corporate rescue regime in transitional states** **Professor Johan Henning,** Senior Professor of Mercantile Law, Emeritus Distinguished Professor and previous Dean of the Faculty of Law of the University of the Free State, South Africa; Professor Extraordinarius, College of Law, University of South Africa; Visiting Professor of Corporate Law, School of Law, BPP University, London; Attorney of the High Court of South Africa **Professor David Burdette,** Nottingham Law School, Nottingham Trent University, UK **Dr Lézelle Jacobs,** Lecturer in the Department of Mercantile Law, University of the Free State; Attorney of the High Court of South Africa
WORKSHOP 33 – 17:30-18:30	**WORKSHOP 34 – 17:30-18:30**
Insurance fraud in China **Dr Zhen Jing,** Senior Lecturer in Law, Law School, Bangor University, Wales, UK **Dr WangWei Lin,** Senior Lecturer in Law, Westminster Business School, University of Westminster, UK	**Fourth AML Directive - implications and practicalities for those in the financial and business sectors** **Mr Nick Andrews,** Managing Director Mpac Group London, UK **Mr Philip Buckingham,** Director, Mpac Group, UK

Alternative Programme – Friday 9th September 2016 – Coleridge Room

Under the auspices of the **Chartered Institute for Securities and Investment (CISI)**

08:00 **Welcome Address**

- **Alderman Sir Alan Yarrow,** Chairman, Chartered Institute for Securities and Investment, UK

Session 1: The new accountability regime and responsibility for ensuring effective compliance

Chair: **Mr George Littlejohn,** Senior Adviser, Chartered Institute for Securities and Investment, UK

- **Mr Brandon Davies,** Independent Director, Lintel Bank and Trustee, Global Ethical Finance Forum, UK
- **Mr Richard Parlour,** Principal, Financial Markets Law International, UK
- **Mr Howard Shaw,** Head of Anti-Corruption and Whistleblowing Services, Mazars, UK

- **Ms Farmida Bi,** Head of Islamic Finance-Europe, Norton Rose Fulbright LLP, London, UK
- **Dr Hatim El-Tahir,** Director, Islamic Finance Group Leader Deloitte ME Islamic Finance Knowledge Centre (IFKC), Deloitte & Touche, Bahrain

10:45 **Coffee**

Session 2: What concerns compliance officers now – or should do!

Chair: **Mr Nicholas Walmsley,** Director, Head of Compliance and risk Culture Training, Deutsche Bank, UK

- **Mr Peter Bibby,** Partner, Brown Rudnick, UK
- **Mr Francis Kean,** Executive Director, Willis Towers Watson, UK
- **Mr Kenneth Murray,** Head of Forensic Accountancy, Police Scotland,m UK
- **Ms Jamilia Parry,** Senior Director, Governance, Risk and Regulation, EMEA Financial Services, FTI Consulting, UK

13:00 **Lunch**

14:00 **Session 3: Data, intelligence and technology – the internet, innovation and cyber crime**

Chair: **Ms Lydia Romero,** Global Director of Learning, Chartered Institute for Securities and Investment, UK

- **Mr Daniel Corrigan,** Chairman, City Advisory Group on Russia and the Commonwealth of Independent States, UK
- **Mr Ali Kazimi,** Managing Director, Hansuke Consulting, UK
- **Alderman Professor Michael Mainelli,** Alderman of the City of London and Executive Chairman of Z/Yen Group, Emeritus Mercers' School Memorial Professor of Commerce, Gresham College annd former Director of the Defence Evaluation and Research Agency, Ministry of Defence, UK
- **Mr Robert Smith,** Senior Consultant, Hansuke Consulting, UK

Session 4: The new accountability – panel discussion

Chair: **Mr George Littlejohn,** Senior Advisor, Chartered Institute for Securities and Investment, UK

Panellists drawn from participants in the previous three sessions.

18:45 **Cocktails** in the Marquee

Dinner in Hall and Upper Hall, Jesus College generously sponsored by **Chartered Institute for Securities and Investments (CISI)** represented **Mr George Littlejohn,** Senior Adviser, Chartered Institute for Securities and Investments (CISI)

Addresses by **Sheriff and Alderman Peter Estlin,** Sheriff and Alderman of the City of London, Senior Adviser, Barclays plc and Middle Warden of the Worshipful Company of International Bankers; former partner in Coopers and Lybrand and former CFO of Salmon Brothers (Asia) and Citigroup Investment Banking Division (New York and London) and Group Financial Controller, Barclays plc; **Sir Kenneth Warren**, Consultant, Chairman, Advisory Council, UK Sichuan Business Association, former Member of Parliament and Chairman of Chairman of the House of Common's Select Committee on Trade and Industry, UK and **Professor Sir Ivan Lawrence QC,** former Member of Parliament and Chairman of the Home Affairs Committee, Master of the Bench of the Inner Temple, Professor of Law, University of Buckingham and BPP University and formerly one of Her Majesty's Recorders introduced by **Mr Clark Abrams,** Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA with a vote of thanks proposed by **Dr Peter German,** Regional Deputy Commissioner, Correctional Service and former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police, Canada

Saturday, 10th September 2016

8:00 **Session XVI: The impact of alternative enforcement strategies on financial institutions and the risks presented by intervention and disruption**

Chair: **His Honour Judge Michael Hopmeier**, Circuit Judge Kingston upon Thames Crown Court, Visiting Professor at City University, London and the University of the West Indies (Jamaica) and Master of the Bench of the Middle Temple, UK

- **Mr David Fitzpatrick,** Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, People's Republic of China
- **Dr Peter German,** Regional Deputy Commissioner, Correctional Service and former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police, Canada
- **Mr Thomas Sawyer,** Senior Litigation Counsel and Counsel for International Tax Matters, Tax Division, Department of Justice, USA

- **Professor Michelle Gallant,** Professor of Law, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
- **Mr Mark Turkington,** UK Head of Sanctions, HSBC and former Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia
- **Mr Jeff Simser,** Legal Director-Agencies, LHIN Legal Services, Local Health Integration Network and former Legal Director, Ministry of the Attorney General Canada
- **Mr Lampros Tsogkas,** Public Prosecutor, Thessaloniki, Greece
- **Mr Kenneth Murray,** Head of Forensic Accountancy, Police Scotland, Scotland, UK
- **Dr Nusret Cetin,** Partner, LBF Partners and former Attorney at Law, Deputy of Legal Affairs and Head of Institutional Relations Group, Capital Markets Board of Turkey
- **Dr Shima Keene,** Senior Analyst and Consultant, Thames Valley Police and Director, Security Economics Programme, Institute of Statecraft, UK
- **Professor Richard Gordon,** Director, Financial Integrity Programs, Brown University and former Senior Counsel, International Monetary Fund, USA
- **Dr Nick Ridley,** Senior Lecturer, John Grieve Centre, London Metropolitan University and former Senior Analyst, Europol

PARALLEL PLENARY WORKSHOP XLIV – 08:00 - 10:45 – EDS Room

International co-operation in the Investigation, prosecution and fraud – a perspective from Ghana

Mrs Yvonne A. Obuobisa, Deputy Director of Public Prosecution, Ghana
The Hon Mr Justice Adegbe Yao Tsar, Executive Director, Economic and Organised Crime Office, Ghana

10:45 **Coffee**

11:00 **Session XVII: Robust governance, effective risk management and good luck!**

Chair: **Dame Heather Steel,** Master of the Bench of Gray's Inn, former Judge of the High Court of Justice, Queen's Bench Division and Judge of the Courts of Appeal of Jersey and Guernsey and Prosecuting Counsel to DHSS, UK

- **Mr Philip Yates,** founding Partner, Perella Weinberg Partners, former Global Head of Mergers and Acquisitions and European Head of Investment Banking, Merrill Lynch and Head of UK Corporate Finance at SG Warburg, UK
- **Professor Chizu Nakajima,** Deputy Director of the Symposium, Professor of Comparative Law, BPP University and Affiliated Lecturer, University of Cambridge and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK
- **Professor Louis de Koker,** Professor of Law, School of Law, Faculty of Business and Law, Deakin University, Australia
- **Professor Antonello Miranda,** Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy
- **Professor Cindy Schipani,** Professor of Business Law and Chair, Law, History and Communication, Stephen M. Ross School of Business, University of Michigan, USA
- **Professor Andrew Haynes,** Director, Institute of Financial Law, University of Wolverhampton and Visiting Professor of Banking Law, BPP University, UK
- **Professor Virginia Maurer,** Professor of Business Law and Darden Restaurants Professor of Management and founding Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA
- **Professor Dalvinder Singh,** Professor of Banking Law, School of Law, Warwick University, UK
- **Professor Andreas Kapardis,** Chairman and Professor of Criminology, Law Department, University of Cyprus
- **Professor Maria Krambia-Kapardis,** Associate Professor of Accounting, Cyprus University of Technology
- **Ms Catherine Pedamon,** Senior Lecturer, School of Law, University of Westminster, London, UK, Director of the Franco British Lawyers Association and a member of the Paris and New York Bars
- **Professor Rob McCusker**, Senior Vice President (Asia-Pacific), Vigilance Australia and Director of the Transnational Crime Academy, Gulf Vigilance, Abu Dhabi
- **Dr Shirley Quo,** Senior Lecturer, Law School, Murdoch University, Australia
- **Mr Nick Andrews,** Managing Director Mpac Group, London, UK
- **Professor Maria Aluchna,** Associate Professor, Warsaw School of Economics, Poland
- **Dr Zhen Jing,** Senior Lecturer in Law, Law School, Bangor, Wales, University, UK

PARALLEL PLENARY WORKSHOP XLIVa – 11:00 to 13:00 – Webb Library

The criminalization of tax avoidance

Chair: Dr Dominic de Cogan, Lecturer, Faculty of Law and Fellow, Christ's College, University of Cambridge, UK
Mr Andrew Morriss, Dean and Anthony G. Buzbee Endowed Dean's Chair, Texas A&M School of Law,

USA
Mr Thomas Sawyer, Senior Litigation Counsel and Counsel for International Tax Matters, Tax Division, Department of Justice, USA
Mr John Christensen, Director of Tax Justice Network, UK
Professor William Byrnes, Executive Professor of Law, Associate Dean, Special Projects, Texas A&M University, USA
Mr Paul Hondius, Advisor, Exchange of Information, International Co-operation and Tax Administration, Centre for Tax Policy and Administration, OECD, France
Ms May Hen, Researcher, Department of Sociology, University of Cambridge; chair, Cambridge Tax Discussion Forum and formerly Canada Revenue Agency, Canada
Ms Jennie Haslett, Corporate Crime and International Engagement, The Centre for Offshore Evasion Strategy, HM Revenue and Customs, UK
Mr Chris Jenkins, Researcher, Faculty of Law, University of Cambridge, UK
Mr Alfred Duncan, Lecturer in Economics, University of Cambridge and Fellow of Christ's College, Cambridge, UK
Dr Nikodem Szumilo, Post-doctoral Researcher, Department of Land Economy, University of Cambridge, UK

PARALLEL PLENARY WORKSHOP XLV – 11:00 to 13:00 – EDS Room

ISIS Inc - A Case Study in Terrorist Financing

Organised by the **Atlantic Council of the United Kingdom**

Chair: Mr Jack Davies, Senior Research Fellow, Atlantic Council of the United Kingdom
Mr Jamie Shea, Deputy Assistant Secretary General for Emerging Security Challenges, NATO
Professor Alan Lee Williams, President of the Atlantic Council of the United Kingdom and former Member of Parliament, UK
Mr Andreas Stradis, Senior Research Fellow, Atlantic Council of the United Kingdom
Dr Jacob Schumacher, School of Oriental and African Sciences, University of London and Lecturer in Financial Law, BPP University, UK
Mr Dominic Thomas-James, Barrister and Researcher, Centre of Development Studies, University of Cambridge, UK

13:00 **Lunch** in Hall and Upper Hall

14:00 **Session XVIII: Gazing into the "Crystal Ball" what we can expect of our banks and those who advise them in the future?**

Chair: **Sir Kenneth Warren,** Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK

- **Dr Patrick Hardouin,** Consultant, International Security and Business, Paris and former Assistant Secretary General, NATO, France
- **Mr David Bickford,** Consultant and former Legal Advisor to the Secret Intelligence Service (MI6) and Secret Service (MI5), UK
- **Mr Dave Porter,** Associate Partner, Cognitive Solutions, IBM, UK
- **Professor Dayanath Jayasuriya,** President's Counsel and former Chairman, Securities and Exchange Commission of Sri Lanka and Consultant to the International Compliance Association
- **Mr Sarabjit Singh,** former Director General, Bureau of Police Research and Intelligence, Indian Police Service, New Delhi, India
- **Mr James Mews,** Director, Finance Industry Development, Chief Minister's Department, States of Jersey
- **Professor Kadriye Bakirci,** Professor of Labour Law, Hacettepe Universitesi, Turkey
- **Mr Peter Gray,** Kingsgrove Partners, In Association with Al Bawardi Advocates and Legal Consultants, Dubai, United Arab Emirates
- **Mr George Lee,** Researcher, Centre for Development Studies, University of Cambridge, UK, former Managing Partner, Oliver Wyman Management Consulting, Senior Vice-President, T-mobile International and Chief Inspector Metropolitan Police Service, UK
- **Dr Dionysios Demetis,** Lecturer in Management Systems, Business School, University of Hull, UK
- **Mr Sven Kihlgren,** Director of Enforcement, Kronofogdemyndigheten (Enforcement Authority), Sweden
- **Mr Trevor Bedeman,** Partner, London Risk, UK
- **Mr Robert Targ,** Partner, Diaz Reus & Targ LLP, Miami, USA
- **Dr Richard Alexander,** Lecturer in Financial Law, School of Oriental and African Studies, University of London and former consultant to the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA)
- **Ms Unsun Park,** Compliance Analyst, Royal Bank of Scotland, UK

- **Dr Inês Sofia de Oliveira,** Research Fellow, Centre for Financial Crime & Security Studies, Royal United Services Institute for Defence & Security Studies (RUSI), UK

Closing Addresses

Chair: **Mr Michael Ashe QC SC,** 9 Stone Buildings, London, Co-Chairman of the Symposium, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple, UK

- **The Hon Mr Ravi Karunanayake,** Minister of Finance, Ministry of Finance, Government of Sri Lanka
- **The Hon Mr Mukul Rohatgi,** Attorney-General of India
- **The Hon Dr Dominic Ayine,** Deputy Attorney-General of Ghana
- **Mr Chris Greany,** Commander, Economic Crime, City of London Police, UK
- **Mr James Bergeron,** Chief Political Advisor, Allied Maritime Command, North Atlantic Treaty Association and former Political Adviser to the Commander, Striking and Support Forces, NATO
- **Professor Peter Nolan,** The Chong Hua Professor in Chinese Development, Director of the Centre of Development Studies, Director of the Chinese Executive Leadership Programme, University of Cambridge and Professorial Fellow, Jesus College, Cambridge, UK
- **Dr Oonagh McDonald,** International Regulatory Consultant, former Member of Parliament and Principal Private Secretary to the Chief Secretary to the Treasury and Opposition Spokesman on Treasury and Economic Affairs, former Director, Financial Services Authority, FSA Ombudsman Scheme and Investors Compensation Scheme and former General Editor of the Journal of Financial Regulation and Compliance, UK
- **Professor Theodore Huckle QC**, Doughty Street Chambers, Visiting Professor of Law, King's College, London, Master of the Bench of Lincoln's Inn and former HM Counsel General for Wales, UK
- **Mr Michael Tuckett**, Director - Bank Secrecy Act / Anti-Money Laundering Compliance, New York State Department of Financial Services
- **Mr Frank Cihlar**, former Senior Counsel for International Tax Matters, Criminal and Appeals Tax Enforcement Policy Section, Tax Division, United States Department of Justice, USA

Closing Remarks

- **Professor Barry A.K Rider,** Founder and Executive Director and Co-Chairman of the Symposium, and Professorial Fellow, Centre of Development Studies, University of Cambridge, UK
- **Mr Saul M Froomkin QC,** Chairman of the Symposium, Director and Head of Litigation, BeesMont Law Limited and former Attorney General of Bermuda

Alternative Programme – Saturday 10th September – Coleridge Room

WHISTLE-BLOWING – THE PRACTICAL ISSUES

An alternative programme under the auspices of **Whistle-blowers UK**

08:00 **Keynote Address**

- **Mr Tom Lloyd,** Chairman, Whistle-blowers, UK and former Chief Constable of Cambridgeshire Constabulary, UK

08:15 **Session I: Who is a whistle-blower? – the critical issue when establishing a case to answer**

Chair: **Ms Georgina Halford-Hall,** CEO, Whistle-blowers UK

- **Mr Lawrence Davies,** Solicitor, Equal Justice Solicitors, UK
- **Mr Simon Natas,** Partner, ITN Solicitors, UK
- **Ms Kate Kenny,** Reader, Queens Management School, Queens University, Belfast, UK
- **Dr Edwin Jeusudason,** Chairman, Patients First, UK
- **Mr Andrew Bousfield,** Barrister, 3PC and Journalist, Private Eye, UK
- **Mr Ian Puddick,** Management Consultant, UK
- **Ms Margaret Finerty,** Partner, Getnick & Getnick, New York, USA

10:45 **Coffee**

11:00 **Session 2: Protecting the evidence and the Whistle-blower – to whom does the whistle-blower speak out to?**

Chair: **Mr John Hendy QC,** Old Square Chambers, London, UK

- **Mr Ian Able,** Solicitor and Head of Employment Law, Michael Lewin Solicitors UK
- **Dr Raj Mattu,** Whistle-blower, researcher and consultant cardiologist, National Health Service, UK
- **Mr Julian Panayiotou,** former Detective Sergeant, Metropolitan Police and founding Director of the Independent Police Support Group, UK
- **Mr Neil Getnick,** Managing Partner, Getnick & Getnick, New York, USA

- **Dr Mike Pierce**, Chairman, the Southmead Project, UK
- **Mr Andrew Bousfield,** Barrister, 3PC and Journalist, Private Eye, UK

13:00 **Lunch** in Hall and Upper Hall

14:00 **Session 3: The buck stops with the boss! Examining the dilemmas associated with managing disclosures and those who speak truth to power from a Senior leadership perspective and setting the tone from the top**

Chair: **Mr Michael Ashe QC SC,** 9 Stone Buildings, London, Co-Chairman of the Symposium, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple, UK

- **Mr Nadeem Thanvi**, Managing Partner, ITN Solicitors, UK
- **Mr Andrew Rowson,** Operations Director, Whistleblowers, UK
- **Mr Neil Getnick,** Managing Partner, Getnick & Getnick, New Your, USA
- **Ms Maggie Civiter,** former Safe-guarding Officer, North Somerset County Council, UK
- **Mr Ben Harris-Quinney,** former Chairman of the Bow Group, Conservative Party, UK
- **Mr Liam Docherty,** Advisor, Public Concern at Work, UK

16:15 **Session 4: Protection v. compensation, a compelling argument for both, discussing the role of the state, organisations and individuals – with practical case studies**

Chair**: Ms Georgina Halford-Hall,** CEO, Whistle-blowers UK

- **Mr Neil Getnick,** Managing Partner, Getnick & Getnick, New York, USA
- **Dr Raj Mattu,** Whistle-blower, researcher and consultant cardiologist, National Health Service, UK
- **Mr Andrew Bousfield,** Barrister, 3PC and Journalist, Private Eye, UK
- **Mr Lawrence Davies,** Solicitor, Equal Justice Solicitors, UK
- **Dr Edwin Jeusudason,** Chairman, Patients First, UK

17:45 **Summary and action planning**

Chair: **Mr Tom Lloyd,** Chairman, Whistle-blowers, UK and former Chief Constable of Cambridgeshire Constabulary, UK

18:30 **Cocktails** in the Marquee generously hosted by **Whistle-blowers UK**

19:45 **Dinner** in Hall and Upper Hall, Jesus College generously hosted by **Mrs Wenli Song**, President of the **UK Sichuan Business Association**

After-dinner Addresses by **Professor Ian White,** The Master of Jesus College and Deputy Vice Chancellor of the University of Cambridge, Van Eck Professor of Engineering and Head of the Photonic Research Group, University of Cambridge, **The Rt Hon Sir John Mummery PC, QC,** former Lord Justice of Appeal, England and Wales, President of the Intelligence Services Tribunal and the Investigating Powers Tribunal and Honorary Fellow of Pembroke College, University of Oxford, UK, **The Hon John Maher III,** Member and Speaker *pro tempore,* House of Representatives, Commonwealth of Pennsylvania and Vice Chairman, Centric Bank, USA and **Mr Saul Froomkin QC,** Chairman of the Symposium, introduced by **Mr Nicholas Walmsley,** Director, Head of Compliance and Risk Culture Training, Deutsche Bank AG, London, UK with a votes of thanks proposed by **Ms Wendy Mead,** Member of the Common Council of the City of London and former Sheriff of the City of London, UK and **Professor Michael Waring,** Emeritus Professorial Fellow, Jesus College and Emeritus Professor of Chemotherapy, Department of Pharmacology University of Cambridge, UK

Sunday, 11th September 2016

9:00 **After breakfast participants in the 34th Cambridge International Symposium on Economic Crime may participate in one or more of the following think tanks held under 'Chatham House Rules'**

Think Tank I: Cyber Crime

Co-Convenors: **Mr Dave Porter,** Associate Partner, Cognitive Solutions, IBM, UK; **Mr Trevor Bedeman,** Partner, London Risk, UK; **Ms Sophia Qureshi,** Head of Fraud Pre-Sales, Featurespace, UK; **Ms Anne Green,** Head of Development, 41st Parameter, Experian, UK; **Mr David Johnston**, Former Head, The National Technical Assistance Centre (NTAC), GCHQ, UK and former Commander, Special Operations, Metropolitan Police, UK and **Dr Lez Rayman- Bacchus,** Research Fellow, Winchester Business School, University of Winchester, UK

Think Tank II: Organised Crime in Post -Communist Societies

Co-Convenors: **Professor William Tupman,** Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter, UK; **Dr Mary Young**, Lecturer in Law, University of the West of England, UK; **Dr Anna Markovska,** Lecturer in Criminology, Anglia Ruskin University, Cambridge, UK; **Dr Ilona Karpanos,** Lecturer in Criminology**,** City University, UK; **Dr Li Xuebin,** Ministry of Justice, UK and former People's Security Bureau, People's Republic of China; **Ms Agnieszka Strykier**, Intelligence Officer, Cambridgeshire Constabulary, UK; **Mr Tom Martlew,** Intelligence Officer, Cambridgeshire Constabulary, UK and **Ms Martina Bedetti,** Researcher, CROSS, Universita degli Studi di Milano, Italy

Think Tank III: Governance - Where does the buck stop?

Co-Convenors: **Professor Chizu Nakajima,** Chair of Corporate Governance Special Interest Group, British Academy of Management, Professor of International and Comparative Law, BPP University, Affiliated Lecturer, University of Cambridge, and Emeritus Professor of Corporate Law and Governance, London Metropolitan University, UK; **Mr Rod Dowler**, Chairman, Industry Forum, Senior Research Fellow, Global Policy Institute, and former Partner, KPMG, London UK and **Professor Wes Harry,** consultant and Visiting Professor, Chester Business School, UK

Think Tank IV: Compliance

Co-Convenors: **Mr Nicholas Walmsley,** Director, Head of Compliance and Risk Culture Training, Deutsche Bank AG, London, UK; **Mr Richard Parlour,** Principal, Financial Markets Law International, UK; **Professor Philip Rutledge,** Partner, Bybel and Rutledge LLP and Visiting Professor in Law, BPP University and former Chief Counsel, Pennsylvania Securities Commission, USA and **Professor Andrew Haynes,** Director, Institute of Financial Law, University of Wolverhampton and Visiting Professor of Banking Law, BPP University UK

Think Tank V: Security, Intelligence and Policing

Co-Convenors: **Dr Shima D Keene,** Senior Fellow, Institute for Statecraft, London, UK; **Air Commodore Mark Ashwell,** UK RAF (Retired), Director, MLA Consultancy 42 and former Director of Intelligence Capability Strategy and Policy, Ministry of Defence, UK, **Mr Kenneth Murray,** Head of Forensic Accountancy, Police Scotland, UK; **Mr Richard Lowe**, Senior Manager, Risk Consulting, KPMG and formerly of Serious Organised Crime Agency/National Crime Agency, UK; **Mr David Chave,** Financial Investigator, SEROCU (South East Regional Organised Crime Unit) UK; **Mr Mark Turkington,** UK Head of Sanctions, HSBC and former Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia; **Mr Clark Abrams,** Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA and **Mr Andrew Borene,** Senior Executive at IBM i2, Fellow, Georgetown University Center for Security Studies, and former Associate Deputy General Counsel at US Department of Defence, USA

Think Tank VII: The buck stops here – organised under the auspices of Whistle-blowers UK

Co-Convenors: **Mr Tom Lloyd,** Chairman, Whistle-blowers, UK and former Chief Constable of Cambridgeshire Constabulary, UK; **Ms Georgina Halford-Hall,** CEO, Whistle-blowers UK; **Mr Andrew Bousfield,** Barrister, 3PC and Journalist, Private Eye, UK and **Mr Simon Natas,** Partner, ITN Solicitors

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, **www.crimesymposium.org**

Workshops

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating

Jesus College, Cambridge and the University of Cambridge

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, thirty-four years ago by Professor Barry Rider, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209 so the University has recently celebrated its 800th anniversary. The University, consisting of over 100 departments, faculties and schools, is rated as the world's foremost research university. A number of the University's centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime.

CIDOEC

The Centre for International Documentation on Economic and Organised Crime (CIDOEC)

CIDOEC was established as a non-profit making organisation and network in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, OBE, a former Attorney General of Bermuda. The Journal of Financial Crime and the Journal of Money Laundering Control are published by Emerald Group Publishing Limited under the auspices of CIDOEC.

The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff are concerned with promoting and conducting research across a broad spectrum of legal and related issues.

National Crime Agency

The NCA leads, supports and co-ordinates the response against serious and organised crime affecting the UK with a national and international reach and the mandate and powers to work in partnership with other law enforcement organisations. It incorporates a number of commands including the Economic Crime Command and the National Cyber Crime Unit. The commands are supported by a central Intelligence Hub. The Economic Crime Command is tasked with leading and co-ordinating the response to economic crime affecting the U.K., including amongst others: fraud; cyber-enabled fraud; bribery and corruption; intellectual property crime and market abuse.

City of London Police

The City of London's Police are entrusted as the National Lead Force for fraud on behalf of the UK Police Service. They lead the police service fight against fraud and economic crime.

The Serious Fraud Office of England, Wales and Northern Ireland

The Serious Fraud Office is an independent government department, operating under the superintendence of the Attorney General. Its purpose is to protect society by investigating and, if appropriate, prosecuting those who commit serious or complex fraud, bribery and corruption and pursuing them and others for the proceeds of their crime. Its Director is David Green CB QC.

The Organising Institutions of the Thirty-Fourth Cambridge International Symposium on Economic Crime and Jesus College, Cambridge wish to acknowledge the generous support of the following sponsors:

Diamond

Mr Qin Hongtao, Hengchang Litong Investment Management (Beijing) Co. Ltd, Beijing, People's Republic of China

Platinum

Hubei Institute for Strategic Studies of Legal Development, Centre of Rule of Law, Development and Judicial Reform, Zhongnan University of Economics and Law, Hubei Province, People's Republic of China
Mrs Yoko Kawaji and Ms Hiromi Kawaji, Tokyo, Japan
The Legal Education Foundation, Taiwan

Gold

The British Institute of Securities Laws (BISL)
Chartered Institute for Securities and Investments (CISI), London, UK
PwC, London, UK
UK Sichuan Business Association, People's Republic of China

Silver

The Centre for International Documentation on Organised and Economic Crime (CIDOEC)
Sichuan Wanhui Printing Co Ltd, People's Republic of China

Bronze

Ministry of Justice, Investigation Bureau, Taipei, Taiwan
Taiwan Stock Exchange, Taipei, Taiwan
Taiwan Securities Association, Taipei, Taiwan
Lewis, Baach, Kaufmann & Middlemiss, New York, USA
Mayer Brown International LLP, London, UK
Whistle-blowers UK
The SME Alliance

Exhibitors

Sator Consulting
The Metropolitan Police
Edward Elgar Publishing
Kompi Ltd
Emerald Group Publishing
International Compliance Association
Fraud Advisory Panel
BPP University

The Organising Institutions

The Centre for International Documentation on Organised and Economic Crime (CIDOEC);
The Centre of Development Studies, University of Cambridge;
The Computer Security Group, University of Cambridge;
The Institute of Advanced Legal Studies (IALS), University of London;
The Society for Advanced Legal Studies (SALS);
Hengchang Litong Investment Management (Beijing) Co. Ltd;
City of London Police UK, National Lead Force for Fraud and Economic Crime;
The International Chamber of Commerce (ICC);
The International Anti-Corruption Academy;
The Australian Institute of Criminology;
The Centre for Strategic and Global Studies, Russian Academy of Sciences;
Hubei Institute for Strategic Studies of Legal Development, Centre of Rule of Law Development and Judicial Reform, Zhongnan University of Economics and Law;
The Nathanson Centre on Transnational Human Rights, Crime and Security, York University, Canada;
The Argentine Federation of Judges;
The Faculty of Political Science, Department of European and International Studies, Centre for European and Comparative Studies, University of Palermo;
University of the Free State, Republic of South Africa;
International Compliance Association (ICA);
The University of Cyprus;
The Graduate School of Arts and Sciences, University of Tokyo;
Department of Business Law and Taxation, Monash University, Australia;
Saint Louis University, USA;
The Centre for International Financial Crimes Studies, University of Florida, USA;
Centre for Criminology, University of Hong Kong;
The British Institute of Securities Laws;
In association with: Jesus College, University of Cambridge

CIDOEC

For information on other CIDOEC programmes and
***The Journal of Financial Crime* and *The Journal of Money Laundering Control*,**
Please contact

Professor Barry A.K. Rider OBE
Jesus College
Cambridge CB5 8BL, UK
Email: b.rider@jesus.cam.ac.uk

The Cambridge International Symposium on Economic Crime

For further information, please contact

Mrs Angela Futter
Symposium Manager
Jesus College, Cambridge CB5 8BL, UK
Tel: +44 (0) 1223 872160
Fax: +44 (0) 1223 872160

E-mail: info@crimesymposium.org
or visit
www.crimesymposium.org